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Accelerating the development and deployment of clean technologies through prospective life-cycle systems analysis

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Energy and Resource Systems Analysis Laboratory

- ERSAL develops mathematical models and decision support tools to *quantify* opportunities for reducing energy and resource use in:
 - Manufacturing processes and supply chains;
 - Product and material life-cycle systems; and
 - Information technology systems.

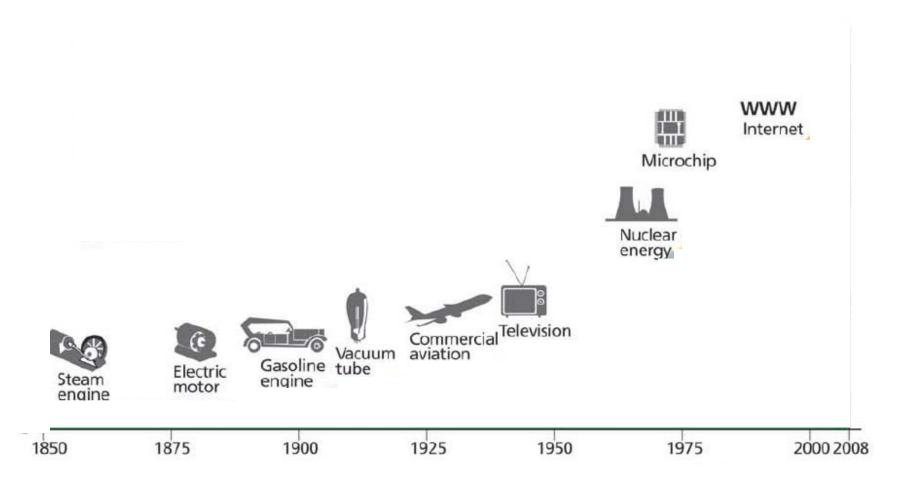


http://ersal.mccormick.northwestern.edu

- Goal: Enable manufacturers and policy makers to identify *robust* technological, behavioral, and policy pathways toward more sustainable products and processes.
- Some current projects:
 - > Supply chain environmental optimization (*National Science Foundation*)
 - Industrial cap and trade policy analysis (California Air Resources Board)
 - Geo-temporal energy analysis of cloud computing (Google)
 - Industrial energy and water efficient technology characterization (U.S. EPA)
 - Cost and environmental prioritization of advanced manufacturing technologies (U.S. Department of Energy)

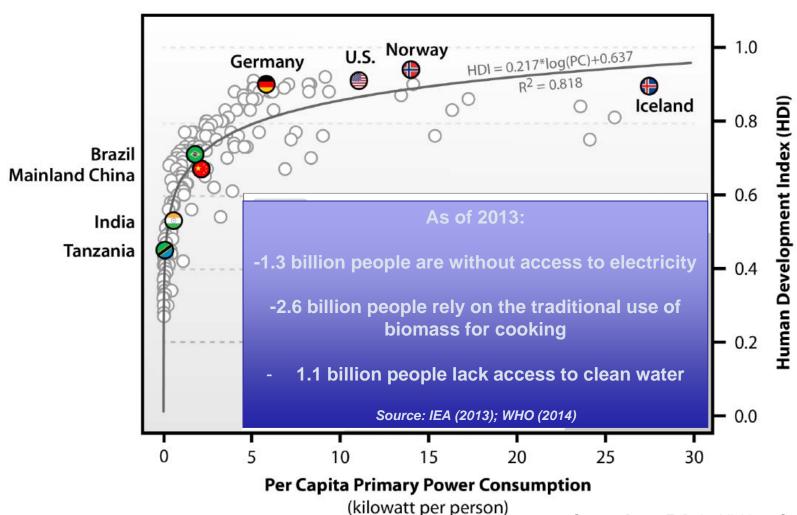
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150 Years of Engineering Impact



Source: IIASA (2012). GEA.

Energy use and human development

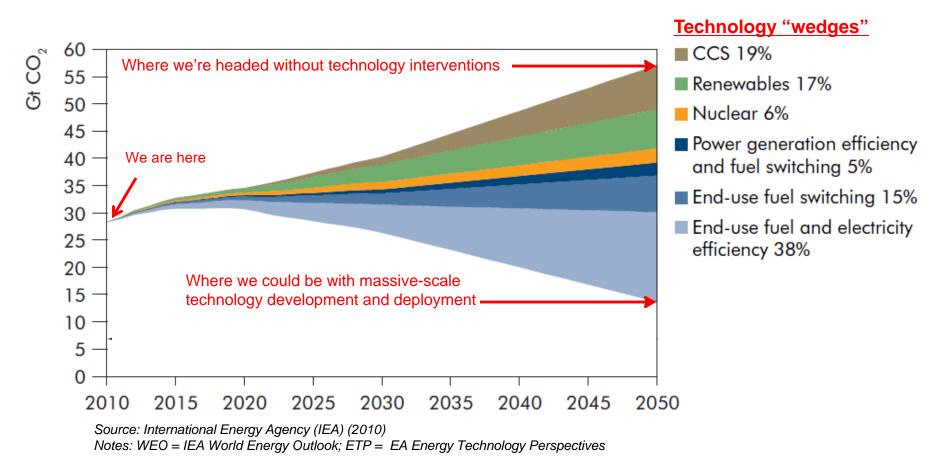


Source: Bruce E. Dale, Michigan State (IEA data)

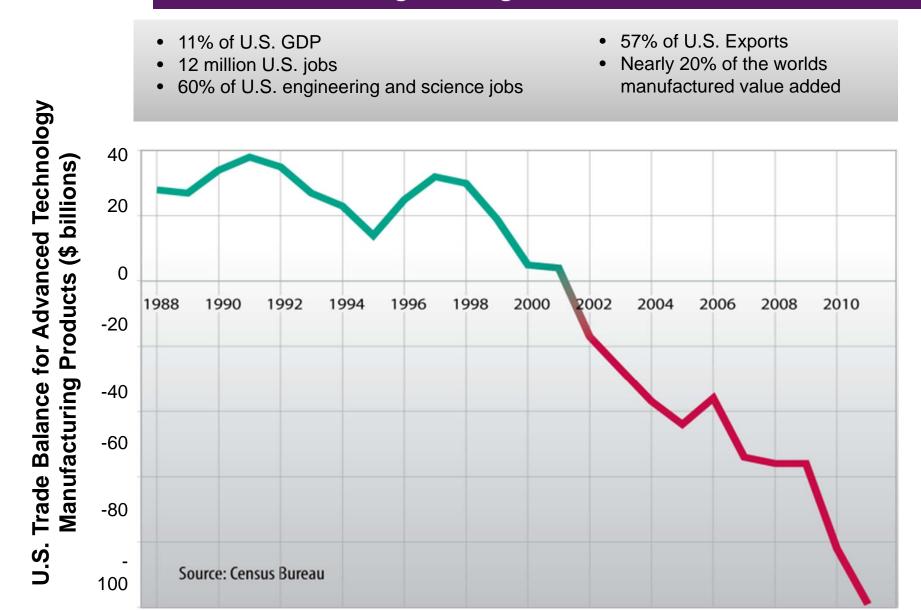


Engineering's Grand Challenge for the 21st Century:

Accelerating development and deployment of sustainable technologies



Manufacturing is vital to the U.S. economy |



Courtesy of Joe Cresko, AMO

Advanced Manufacturing Office – Goals and National Importance





Spark a renaissance in American manufacturing through public private partnerships that help our manufacturers compete with anyone in the world.

Office of Energy Efficiency and Renewable Energy

U.S. Dept. of Energy

Strengthen America's energy security, environmental quality, and economic vitality through enhanced energy efficiency and productivity

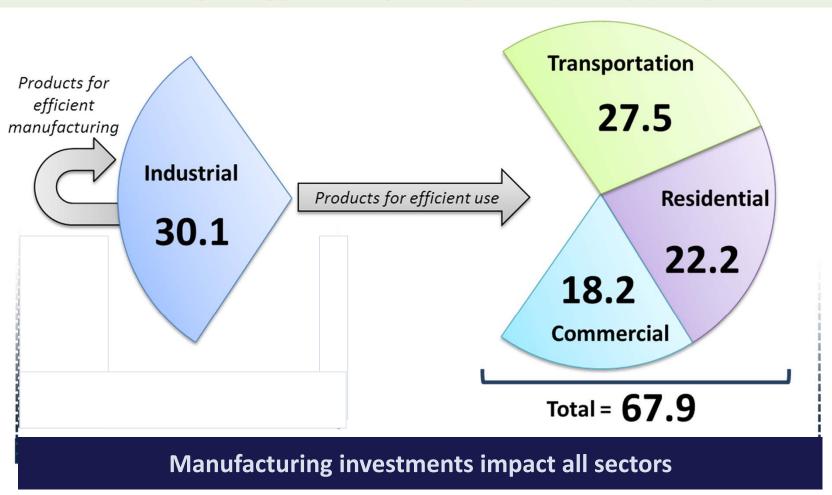
Advanced
Manufacturing
Office

Partner with industry, small business, universities, and other stakeholders to invest in technologies with the potential to create high-quality domestic manufacturing jobs and enhance the global competitiveness of the United States.

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Energy Economy-wide lifecycle impacts

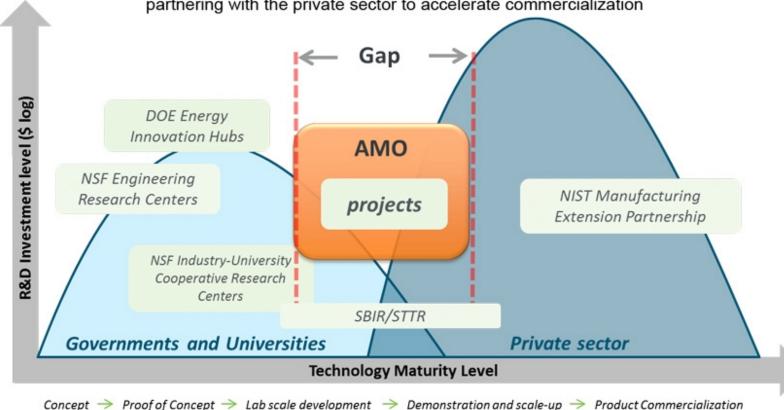
Primary Energy Consumption by Sector, 2010 (Quads)



Research Motivations Part II: Transitioning to a Clean Manufacturing Economy

AMO: Bridging the Innovation Gap

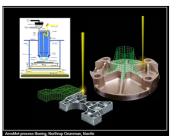
AMO Investments leverage strong Federal support of basic research by partnering with the private sector to accelerate commercialization



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Accelerating technology development ERSAL research thrust:

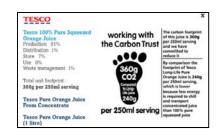
- Prospective life-cycle systems analysis:
 - Enables robust engineering and policy decisions today to lead to greatest sustainability benefits tomorrow
 - Development of large-scale spatio-temporal systems models:
 - Mathematical integration of physical, economic, policy, and environmental models and data
 - Functional relationships to engineering properties
 - Uncertainty and scenario capabilities for robust decisions
 - Enables high-reward investments through technology policy









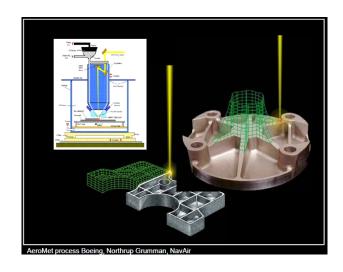


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Additive Manufacturing Example

- 3-D graphical models, parts built in layers
- No tools, dies, or forms
- Near final shape
- Reduced delivery times 75%
- Mechanical properties equivalent to wrought
- Reduced material use
- Reduced inventory
- Significant cost and energy savings

Additive Manufacturing 0.38 kg



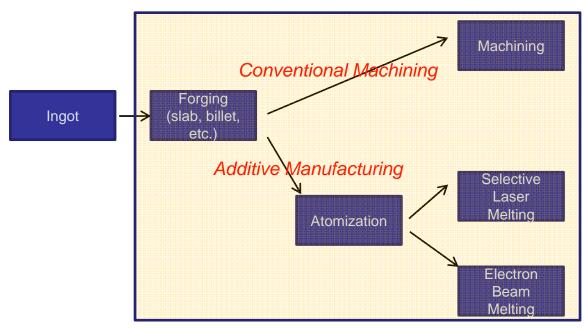
Airbus example (120 brackets)



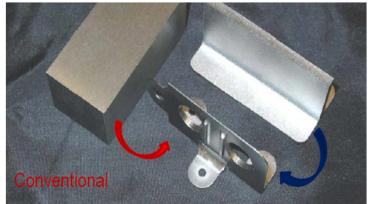
Conventional Machining 1.09 kg



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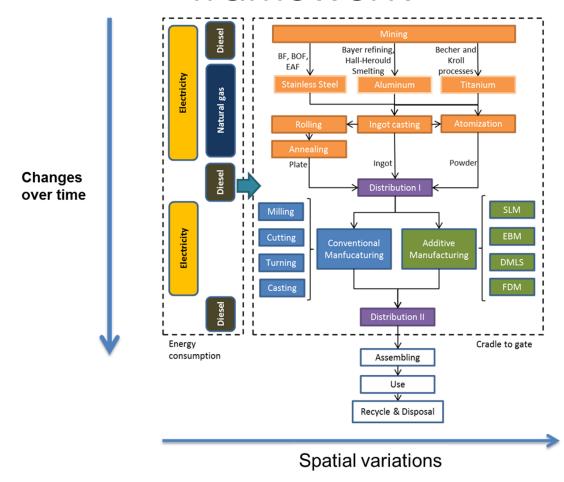
High embodied energy of ingot plus high buy-to-fly ratio of machining pathway drives energy differences



Process	Final part kg	Ingot consum ed kg	Raw mat'l MJ	Manu f MJ	Transpo rt MJ	Use phase MJ	End of life	Total energy per bracket MJ	Total energy per (120 brackets) MJ
Machinin g	1.09	9.69	8892	990	41	218,00 0	Not considered	227,923	27.4 MM
SLM	0.38	0.64	583	198	14	76,000	Not considered	76,795	9.2 MM
EBM	0.38	0.64	583	154	14	76,000	Not considered	76,751	9.2 MM

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Spatial-temporal systems modeling framework

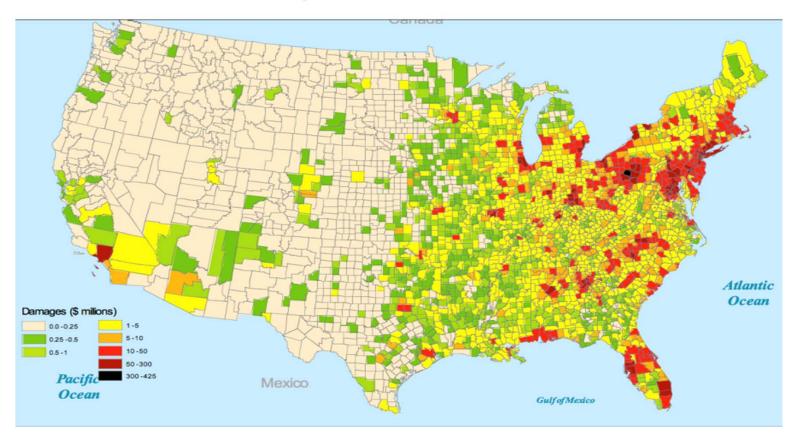


Huang, R., Riddle, M., Graziano, D., and E. Masanet (2014). "The Energy and Emissions Saving Potential of Additive Manufacturing: The Case of Lightweight Aircraft." Journal of Cleaner Production. Under review.



Why location matters

Monetized Health Damage from Fossil-fuel Electric Power Generators

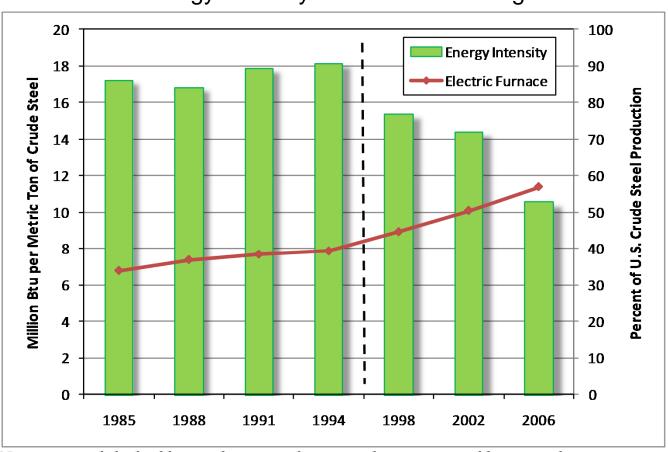


Source: NRC (2010)



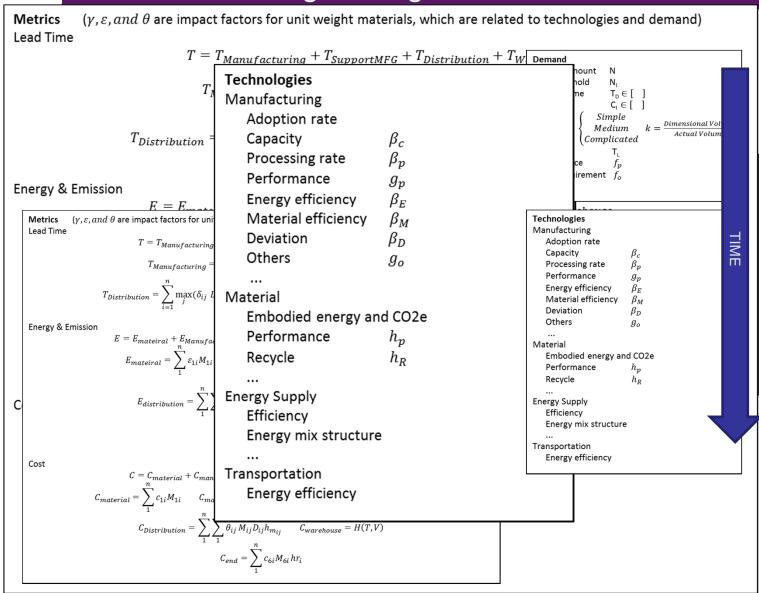
Why time matters

Energy intensity of U.S. steelmaking



Note: vertical dashed line indicates a change in the time period between data points Sources: U.S. DOE (2010), USGS (2010b), World Steel (2010)

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U.S. Aircraft Fleet Case Study (2015-2050)



Huang, R., Riddle, M., Graziano, D., and E. Masanet (2014). "The Energy and Emissions Saving Potential of Additive Manufacturing: The Case of Lightweight Aircraft." Journal of Cleaner Production. Under review.

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Replaceable mass screening

Table 2: Aircraft component system attribute ratings

Component systems	Component category	Mass fraction	Load rating	Shape complexity rating	Geometric volume rating	Feasibility evaluation score*
Wing systems		0.24				
	Structural	0.95	High	Medium	High	4
	Auxiliary	0.05	High	Low	Low	5
Body systems		0.19				
	Structural	0.95	High	Medium	High	4
	Auxiliary	0.05	Medium	Low	Low	6
Furnish & equip systems		0.13				
	Structural	0.36	Low-Medium	Medium	Low-Medium	7
	Functional	0.57	Low	Medium	Medium	7
	Auxiliary	0.07	Low	Low	Low	7
Engine		0.12				
	Structural	0.17	High	Medium	High	4
	Functional	0.77	High	High	Medium-High	5.5
	Auxiliary	0.06	Medium-High	Low	Low	5.5
Alighting gear systems		0.09				
	Structural	0.95	High	Medium	High	4
	Auxiliary	0.05	High	Low	Low	5
Tail systems		0.04				
	Structural	0.95	High	Medium	High	4
	Auxiliary	0.05	High	Low	Low	5
Propulsion systems		0.04				
	Functional	1.00	High	High	Medium	6
Nacelle systems		0.04				
	Structure	0.95	High 	Medium	High	4
	Auxiliary	0.05	Low-Medium	Low	Low	6.5

^{*} To determine feasibility scores, numerical values of 1, 2, and 3 were assigned to ratings of high, medium, and low, respectively, for load and geometric volume. Numerical values of 3, 2, and 1 were assigned to ratings of high, medium, and low, respectively, for shape complexity. Component categories with feasibility scores greater than 5 (bolded in this table) were deemed most likely for near-term adoption of AM components.

Huang, R., Riddle, M., Graziano, D., and E. Masanet (2014). "The Energy and Emissions Saving Potential of Additive Manufacturing: The Case of Lightweight Aircraft." Journal of Cleaner Production. Under review.



Replaceable mass and timing

Table 3: Replaceable mass by metal alloy, component system, and component category

	Replaceable mass in average aircraft (kg)*					
Component system	Category	Al alloy	Ti alloy	Ni alloy	Steel	
Body systems	Auxiliary	80-200				
Furnishings and equipment	Structural	70-130				
	Functional	1450-1930				
Engine	Functional		680-1350	940-1880	100-190	
	Auxiliary		50-90	50-90	50-90	
Propulsion systems	Functional		330-810			
Nacelle Systems	Auxiliary		20-40			
	Total	1590-2260	1070-2290	980-1960	140-280	

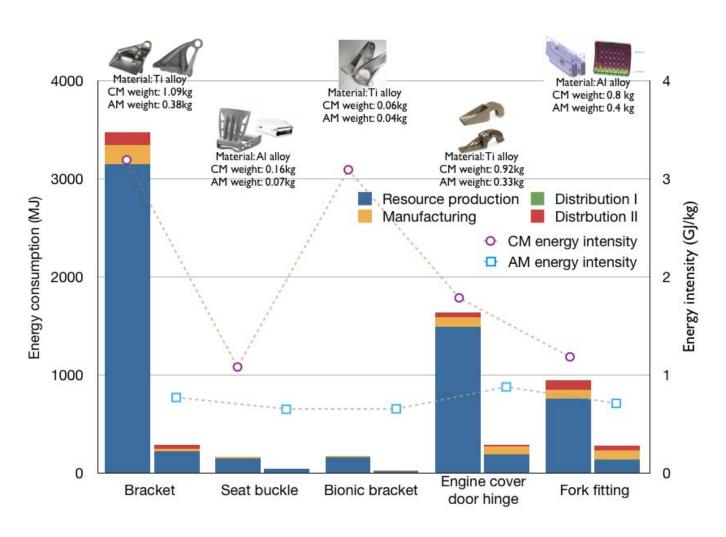
^{*} Based on an average aircraft empty operating mass of 40,622 kg [43, 44]

Table 8. Temporal availability assumptions

Component system	Component category	Availability
Body systems	Auxiliary	10 years (2024)
Furnishings and equipment systems	Structural	5-10 years* (2019-2024)
Furnishings and equipment systems	Functional	10 years (2024)
Engine	Functional	20 years (2034)
Engine	Auxiliary	10 years (2024)
Propulsion systems	Functional	20 years (2034)
Nacelle systems	Auxiliary	5 years (2019)

^{*5} years for galley and lavatory, 10 years for floor panel, fasteners and other

Component level results



Temporal fleet adoption modeling

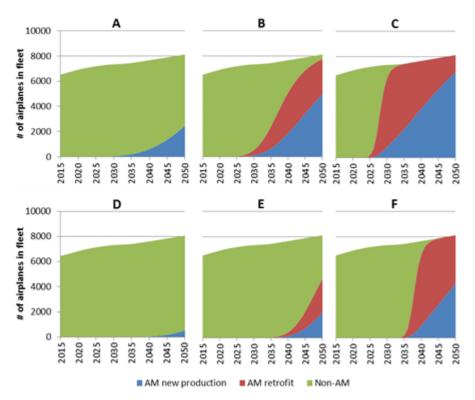
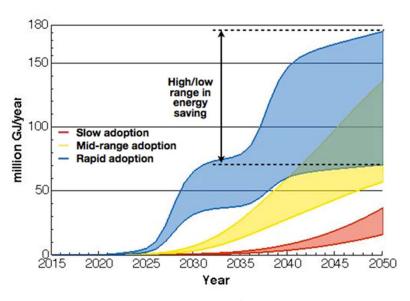


Figure 3: Incorporation of AM components into fleet of aircraft: (A) furnishings and equipment systems, slow adoption; (B) furnishings and equipment systems, mid-range adoption; (C) furnishings and equipment systems, rapid adoption; (D) engine, slow adoption; (E) engine, mid-range adoption; (F) engine, rapid adoption.

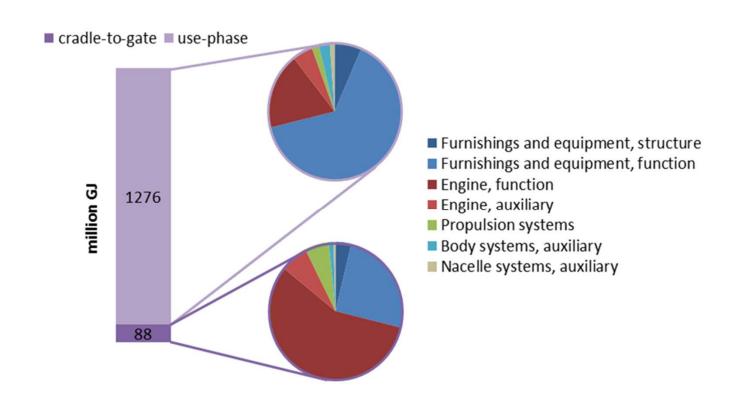


Policy and R&D levers for rapid adoption:

- Improved surface finish (basic research)
- Reduced residual stresses (basic research)
- Pilots and technology transfer
- Cost and externality incentives for AM adoption

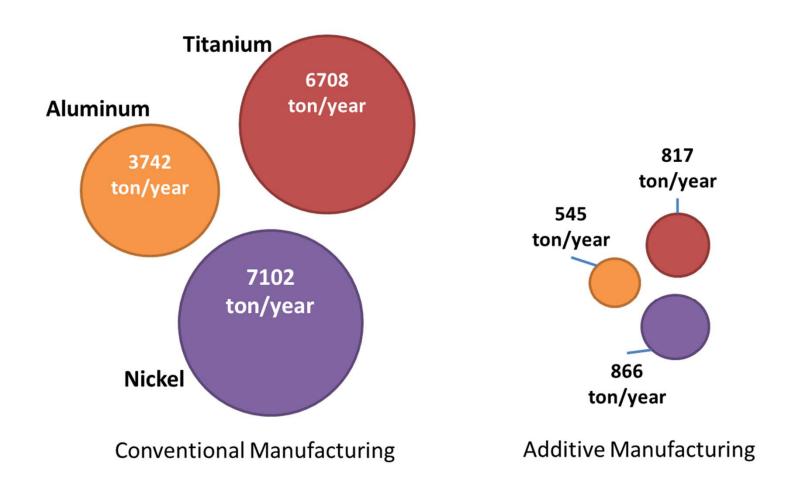
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Engineering functionality drives energy savings!



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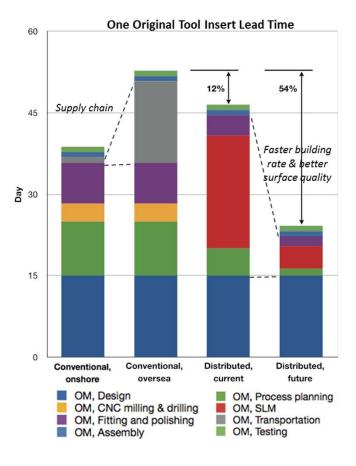
Raw materials requirements for replaceable components in 2050



Huang, R., Riddle, M., Graziano, D., and E. Masanet (2014). "The Energy and Emissions Saving Potential of Additive Manufacturing: The Case of Lightweight Aircraft." Journal of Cleaner Production. Under review.

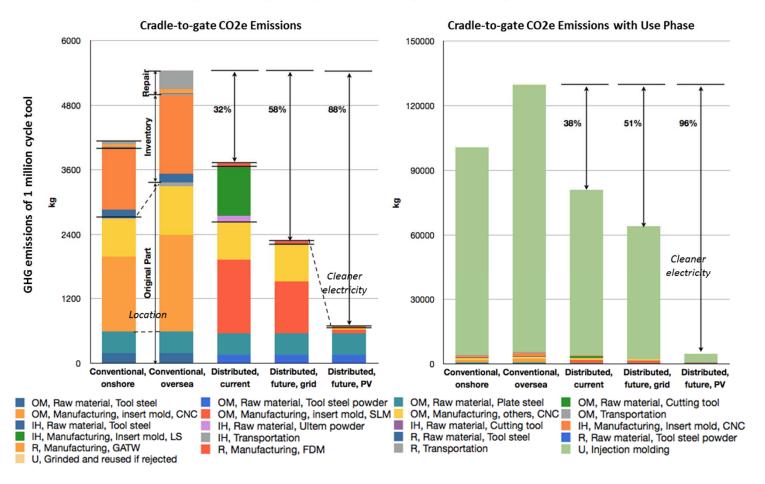
Distributed Manufacturing Preliminary Results: Lead time

- More than 50% lead time savings (original mold & repair) could be achieved in the future if the AM building rates develop to 100 cm3/h (current ~25 cm3/h), which make "deliver over night" possible.
- Distributed AM saves time during tool repair, especially compared to overseas CM



Distributed Manufacturing Preliminary Results: GHG emissions

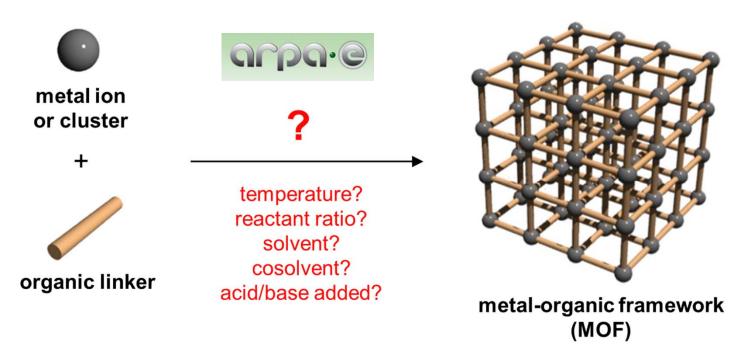
- Similar to primary energy, less inventory holding and technology improvement would reduce GHG emissions
- · Renewable distributed generation systems (i.e. PV and wind) would save significant GHG emissions





Guiding R&D decisions in real time

Metal organic frameworks (MOFs) for carbon capture from coal-fired power plants



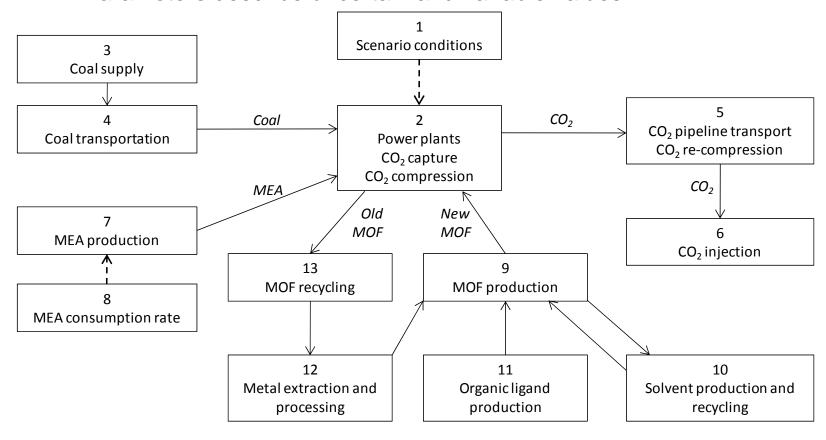
Sathre, R., and E. Masanet (2013). "Prospective Life-cycle Modeling of a CCS System Using Metal-Organic Frameworks for CO2 Capture." Royal Society of Chemistry (RSC) Advances. In press.

Sathre, R., and E. Masanet (2012). "Energy and Climate Implications of CCS Deployment Strategies in the US Coal-fired Electricity Fleet." Environmental Science & Technology. In press.

Sathre R, Chester M, Cain J, Masanet E. (2012). "A framework for environmental assessment of CO2 capture and storage systems." Energy - The International Journal. 37(1): 540-548.

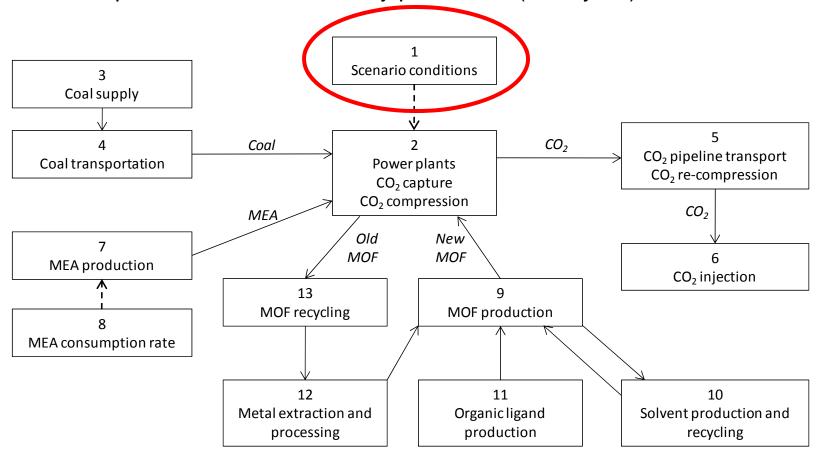
Dynamic Prospective Systems Model

- Describes system-wide energy use, GHG emissions, and cost
- Parameters describe uncertain and variable values



Scenario conditions

- Plausible development pathways for US coal-fired power fleet through 2050
- Defines time profile of annual electricity production (TWh/year)

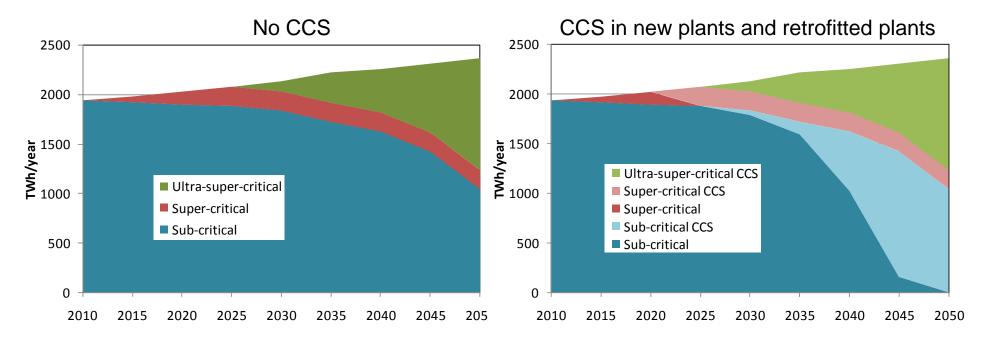




CCS deployment scenarios



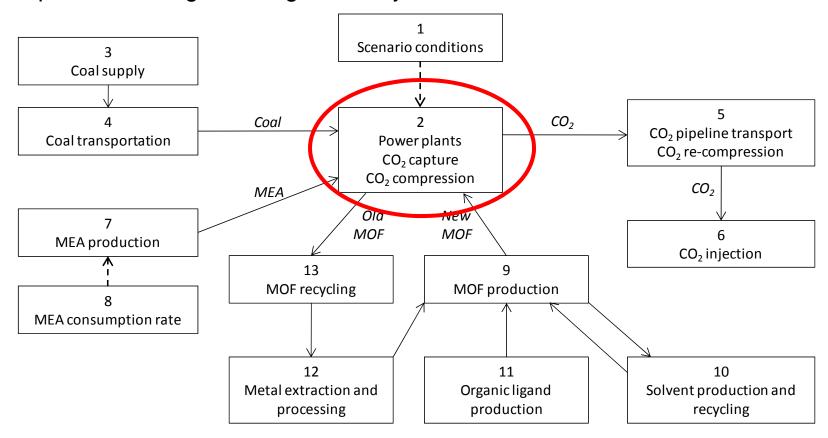
- Development of US coal-fired power plant fleet through 2050
- Electricity demand through 2035 based on EIA (2010), extrapolated thereafter
- Retirement of existing plants based on Ventyx (2011)
- CCS available for deployment after 2020



- Other scenarios (not shown) describe other deployment patterns
- Material presented today shows upper bound of CCS and MOF use in coal-fired plants

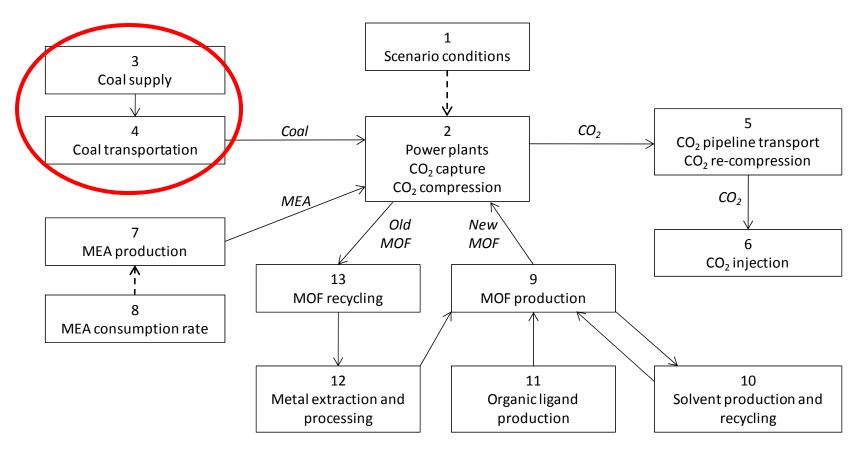
Power plants

- Performance characteristics of plants with and without carbon capture
- Improvement of generating efficiency over time



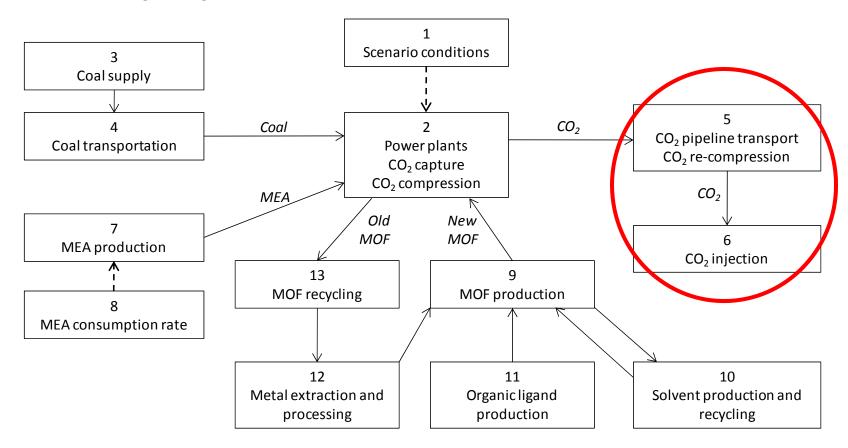
Coal supply and transportation

- Energy use and emissions for coal mining and rail transport
- Coal mine methane emissions



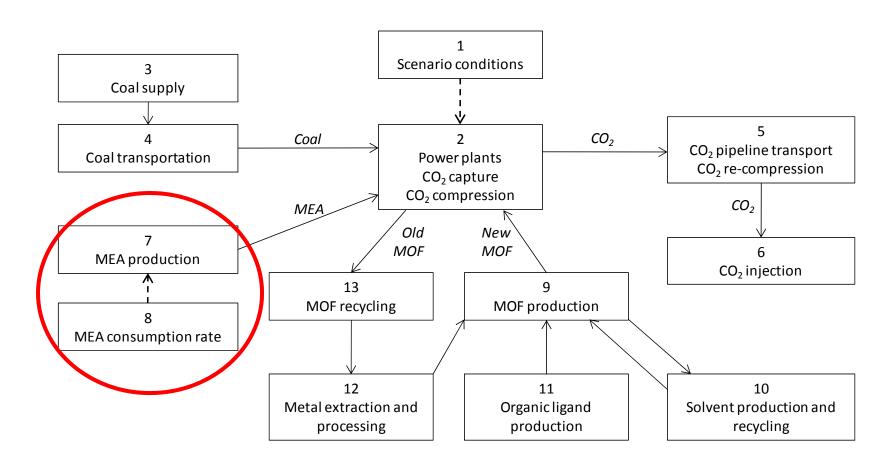
CO₂ transport and sequestration

- Construction and operation of CO₂ pipelines
- Injection in geologic formations



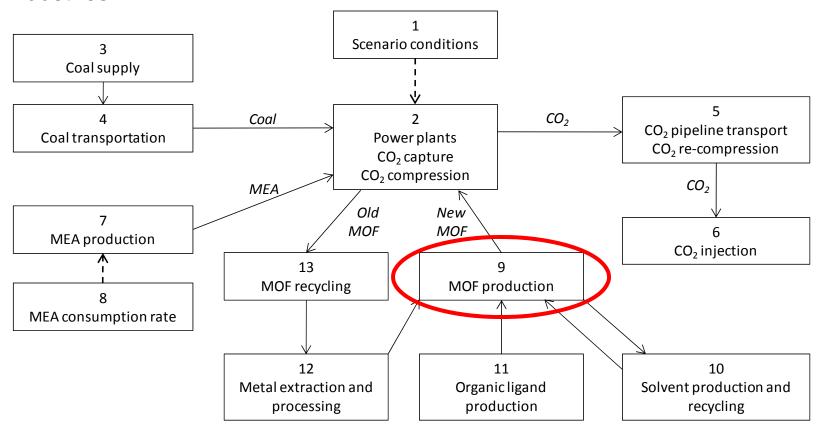
MEA production

Baseline capture technology to which MOF is compared



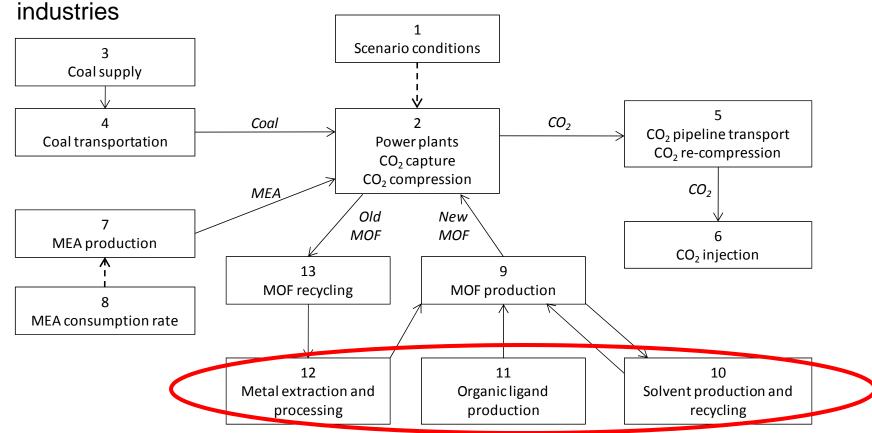
MOF production

- Projections of large-scale MOF synthesis
- Hybrid modeling using MOF-specific data plus proxy data from chemical industries



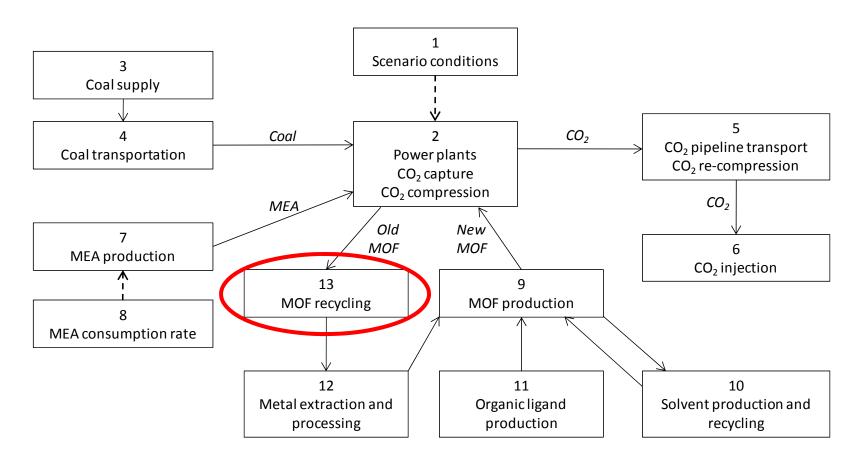
MOF input materials

- Potential material supply for large-scale MOF production
- Hybrid modeling using MOF-specific data plus proxy data from chemical



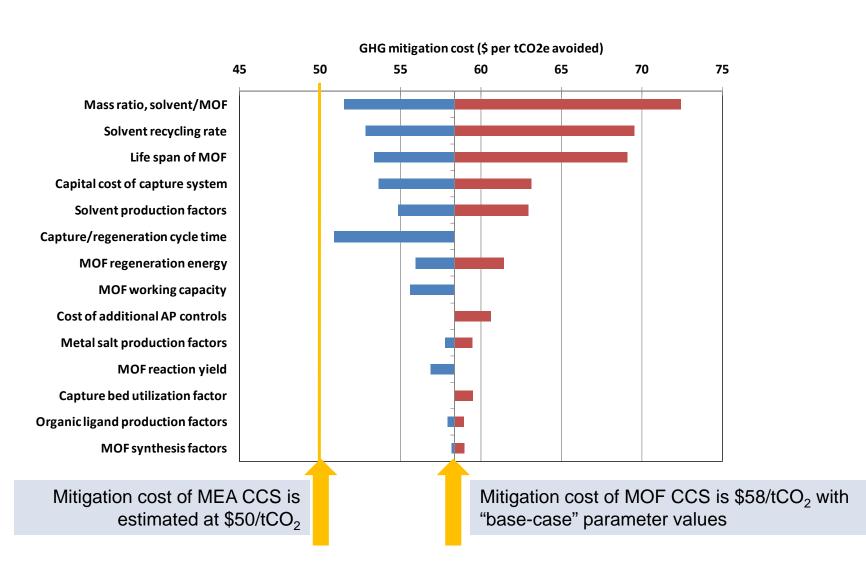
MOF recycling

Metal recovery and reuse from post-use MOF material



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GHG mitigation cost





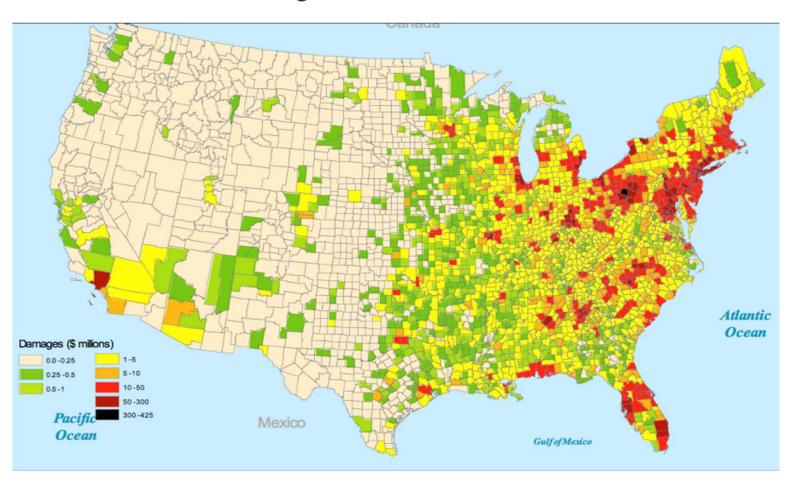
Environmental Impacts of Large-scale Photovoltaic (PV) Deployment

Pei Zhai¹, Peter Larsen¹, Dev Millstein¹, Surabi Menon¹, Eric Masanet²

Lawrence Berkeley National Laboratory
 Northwestern University



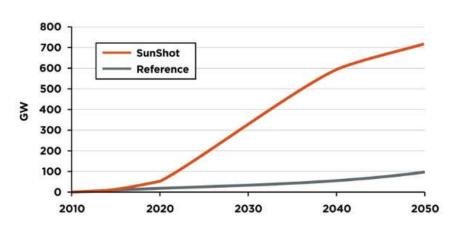
Monetized Health Damage from Fossil-fuel Electric Power Generators



Source: NRC (2010)

Research Motivations

- U.S. DOE SunShot Initiative, 13% solar (10% PV, 3% thermal) by 2030, 18% by 2050
- Large uncertainties in environmental and human health benefits of solar PV at the regional level:
 - Albedo effects on air chemistry and quality
 - Local population and demographic characteristics
 - Evolution of the energy system
 - Energy supply characteristics
 - Demand profiles
 - Effects of efficient technology deployment



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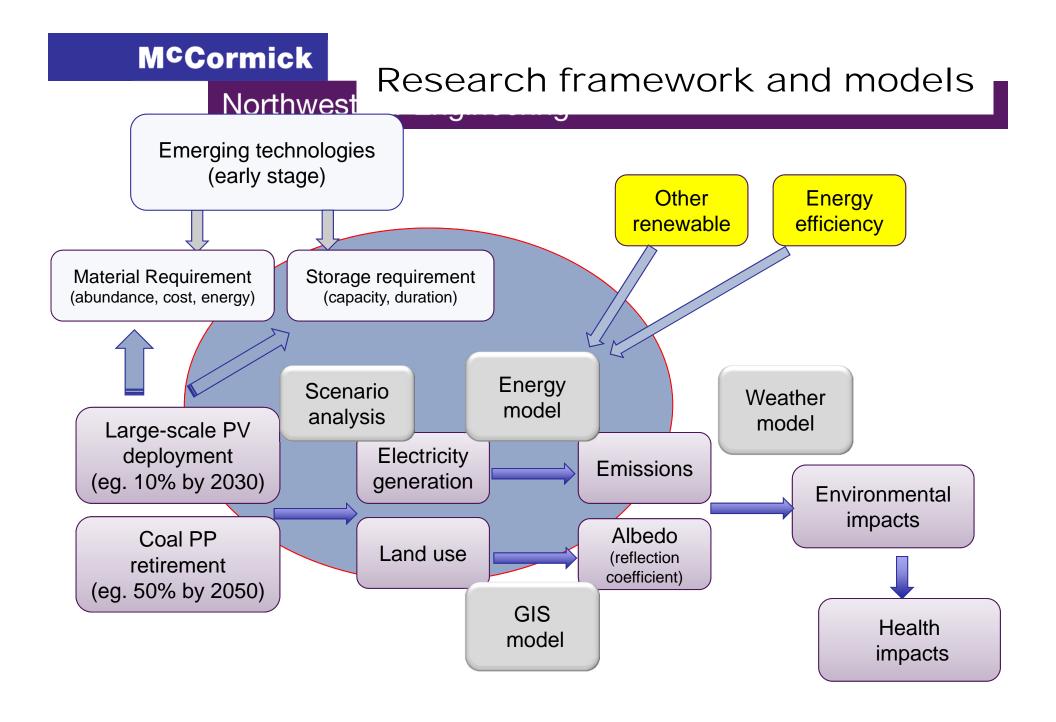
Scenarios of the U.S. PV deployment

Year	PV penetration	PV capacity (GW)	PV generation (TWh)	Land use (km2)
2010	0.05%	1	2	6
2020	5%	100	197	624
2030	10%	200	395	1247
2040	15%	300	593	1870
2050	20%	400	790	2494

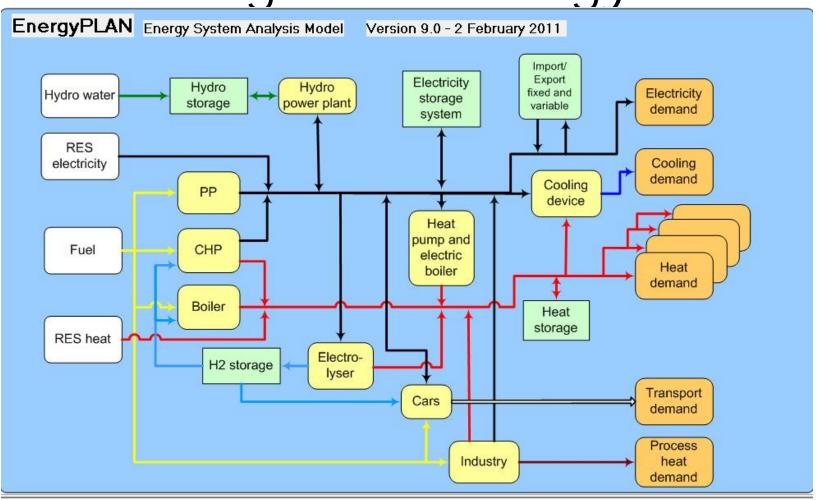
Assumptions:

- PV system capacity factor is 22.6% (1-axis tracking)
- PV module efficiency is 16%

Note: Land of California is 414,000 km2



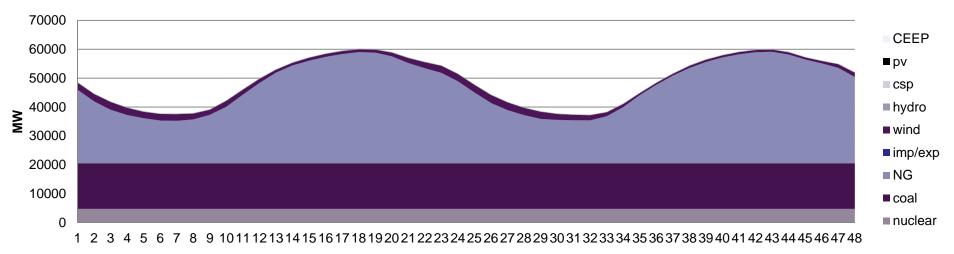
Modeling tool - EnergyPlan9.0



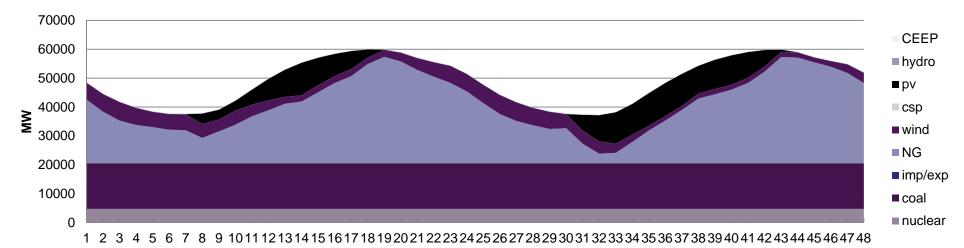
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Understanding hourly generation is important to renewable energy integration, energy efficiency analysis





Texas--10%PV10%wind



Results: PV benefits?

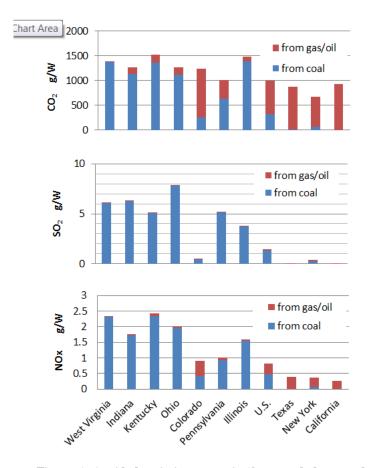


Figure 1: Avoided emission per unit (from coal plants and gas plants) after 10% PV penetration by type of fuel displacement (either coal or natural gas/oil): (a) CO_2 ; (b) SO_2 ; (c) $\underline{NO_x}$

Prospective techno-economic life-cycle systems analysis for sound policy

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ERSAL policy focus:

Accelerating deployment

An economist and his friend are walking, and the friend spies a \$20 bill on the sidewalk. The friend says "Hey, \$20! Let's pick it up."

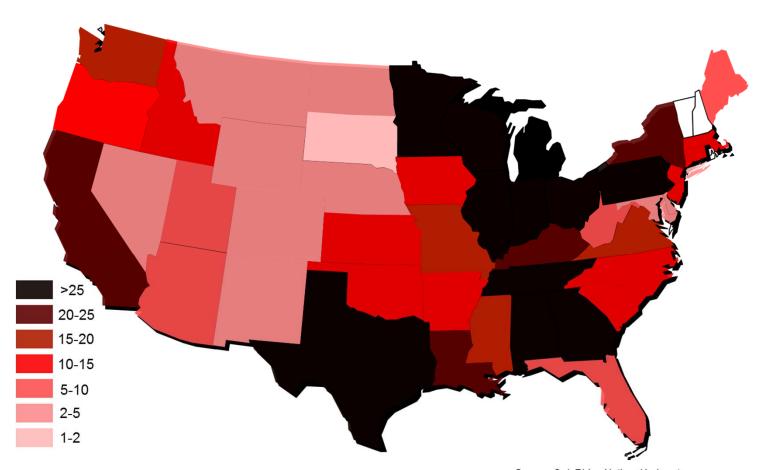


The economist replies "Leave it. If it were real, somebody would have picked it up already."

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U.S. DOE Energy Savings Audits (ESAs) Performed Total 871 ESAs (Year 2006 - 2010)



Source: Oak Ridge National Laboratory

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Total identified source energy savings = 162 TBtu per year

Total identified energy cost savings = \$1.2 Billion per year

Total 871
Assessments
(ESAs with summary report)

The amount used annually by 1.6 million single family homes*

Total identified
CO2 reduction =
10.2 Million MTons
per year

Equivalent to taking 2 million cars off the road⁴

Total identified natural gas savings 111 TBtu per year

Source: Oak Ridge National Laboratory

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Source energy:

Implemented: 27.6 TBtu/year In-Progress: 25.0 TBtu/year In-Planning: 30.3 TBtu/year

Energy cost:

Implemented: \$163 Million/year In-Progress: \$173 Million/year In-Planning: \$252 Million/year

Total 624
Assessments
(ESAs with follow-up information)

Based on different reporting timeframes (6, 12 and 24 months follow-up calls)

CO2 reduction:

Implemented: 1.78 Million MTons/year In-Progress: 1.52 Million MTons/year In-Planning: 1.92 Million MTons/year

Source: Oak Ridge National Laboratory

Identified source energy savings for 624 ESAs is 114 TBtu/yr and cost savings are \$858 million/yr.



Why are Large Plants Passing on Low-Cost Energy Efficient Technologies?

Common barriers to industrial energy efficiency include:

Restrictive budget and fiscal criteria

Financial

- Energy costs might represent a small fraction of production costs
- Short-term revenue generation often takes priority
- Lack of cross-departmental cooperation
- Lack of staff and management awareness

Information

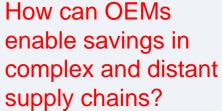
- Lack of resources (time, money, and skills) to identify and pursue energy efficiency opportunities
- Lack of information on key opportunities for government and utility company policies and incentive programs

OEM leverage

Economic Impact, Energy Use, and GHG Emissions / Most reduction

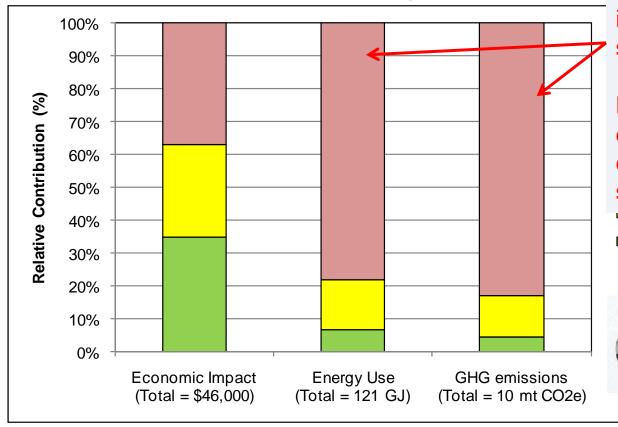
Manufacture of an Average Midsize U.S. Past opportunities may be

Most reduction opportunities may be in the extended supply chain!



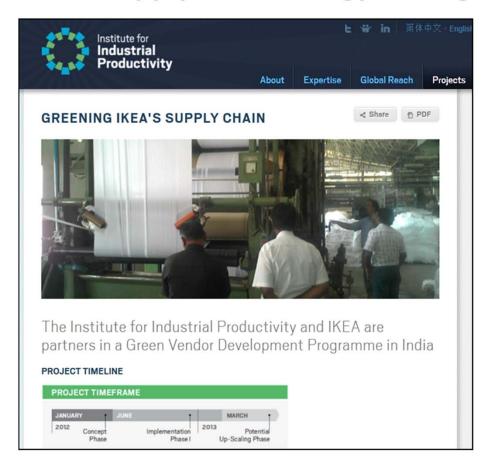
- □ First tier suppliers
- Auto manufacturing (336110)







Supply Chain Energy Management: A Promising Approach?



Motivations

- Recognition that the environmental footprints of many products extend deep into the producing sector's supply chain
- Increasing demand for footprint data by retailers and customers

Approaches

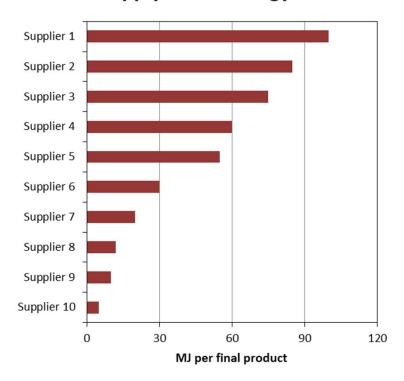
- Sponsored energy audits
- Technical assistance
- Financial incentives
- Benchmarking and target setting
- Initiatives by Pepsico, IKEA, and many others

Research Question

 So we have a supply chain environmental footprint ... now what? What and where are specific opportunities for reducing this footprint along the supply chain, and at what level of cost?

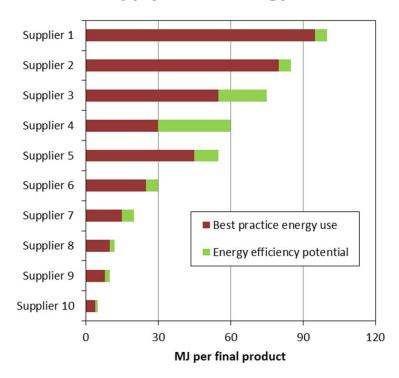
We can do this:

Supply Chain Energy Use

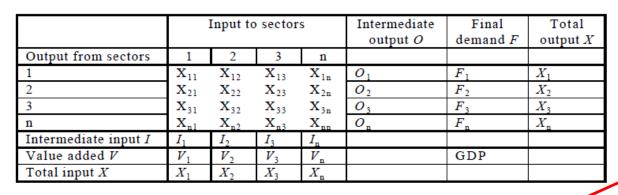


Supply chain initiatives require this:

Supply Chain Energy Use



Input-Output Life-Cycle Analysis



IO Sector-Level Environmental Coefficients

Annual GHG emissions (kg) Annual output X (\$)



$$\sum X_{ii} + F_i = X_i; \qquad X_i = X_i;$$

$$X_i = X_i$$

using
$$D_{ij} = X_{ij} / X_{ij}$$

$$\sum (D_{ij}^{*}X_{i}) + F_{i} = X_{i}$$

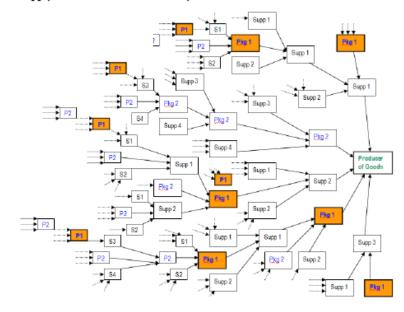
in vector/matrix notation:

$$D*X + F = X => F = [I - D]*X$$

$$F = [I - D]*X$$

or
$$X = [I - D]^{-1}*F$$

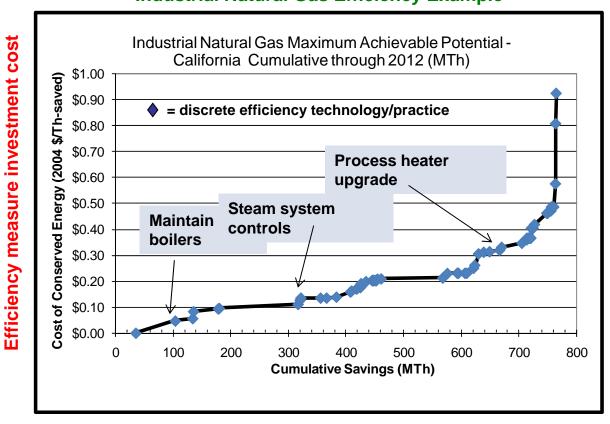
Supply Chain Contribution Analysis



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Techno-Economic Potentials Analysis

Industrial Natural Gas Efficiency Example







Technical Potential of Efficient Measure

EUI

Base Case Equipment

× Applicability Factor

Not Complete Factor

Feasibility Factor

Savings Factor

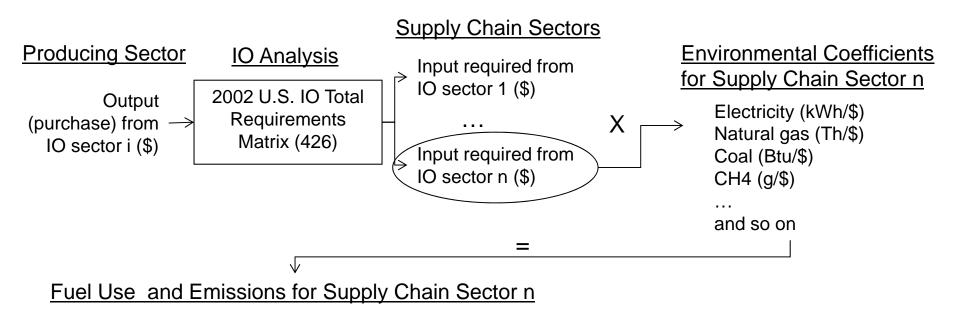
Friedmann, R., F. Coito, E. Worrell, L. Price, E. Masanet, and M. Rufo (2005). "California Industrial Energy Efficiency Potential." Proceedings of the 2005 ACEEE Summer Study on Energy Efficiency in Industry, West Point, New York, ACEEE.

Electricity (kWh)

Hybrid Modeling Schematic

Black = Input-output model

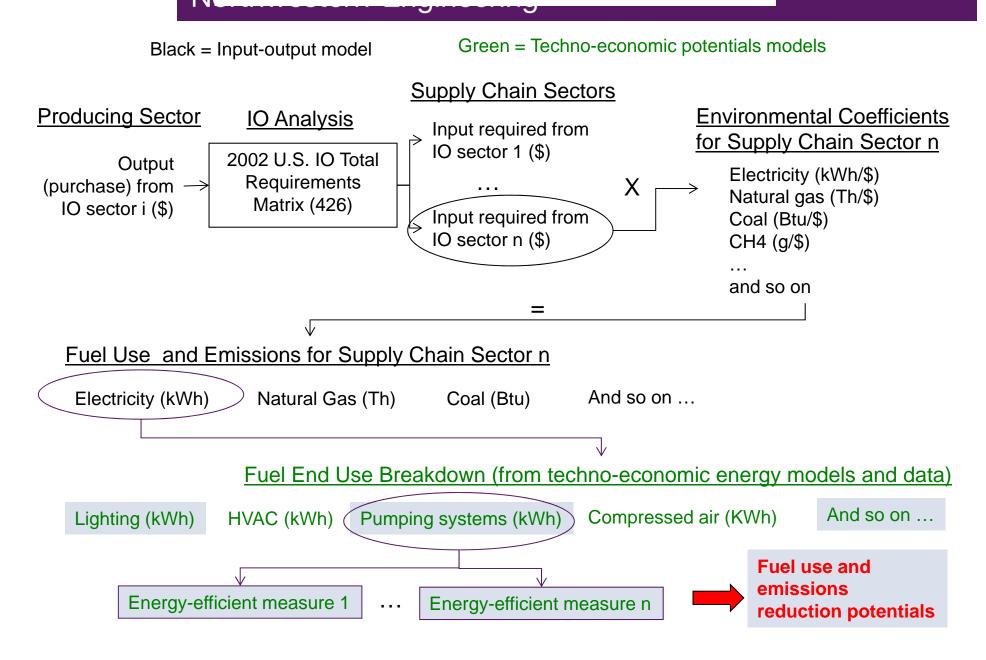
Natural Gas (Th)



Coal (Btu)

And so on ...

Hybrid Modeling Schematic



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Manufacturer Leverage Characterization

Fraction t	Potential savings from efficience to plant's pumps, fans, drives, etc.	Motor Motor Poten	ntial	
	nt electricity use	Total Electricity	System System Electricity Efficiency Savin	•
IO Sector	Description	Use (kWh)	Use (kWh) Potential (kWh)	
336110	Automobile and light truck manufacturing	727	313 15% 4	17
			Auto manufacturer total 4	<i>47</i>

An auto manufacturer might increase savings by a factor of 4 by replicating motor system efficiency best practices across just 10 key suppliers

<u>Case Study:</u> If Carbon Labels Work, Which Products Should Be Labeled?

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- Initiatives are emerging globally to estimate and report the carbon footprints associated with goods and services
 - Carbon Trust (UK) Carbon Reduction Label and British Standards Institute PAS 2050
 - Tesco (UK) and Wal-Mart (US) supply chain reporting initiatives
 - Industry-led initiatives (breweries, dairies, others)
 - California Assembly Bill 19
 - Waxman-Markey Bill

Challenges

- Cost, complexity, reliability
- Data gaps and uncertainties
- Singular focus on carbon
- Market adoption

Opportunities

- Increased supply chain accountability
- Improved energy and emissions management
- Long-term corporate culture change toward continuous improvement



http://www.carbon-label.com/

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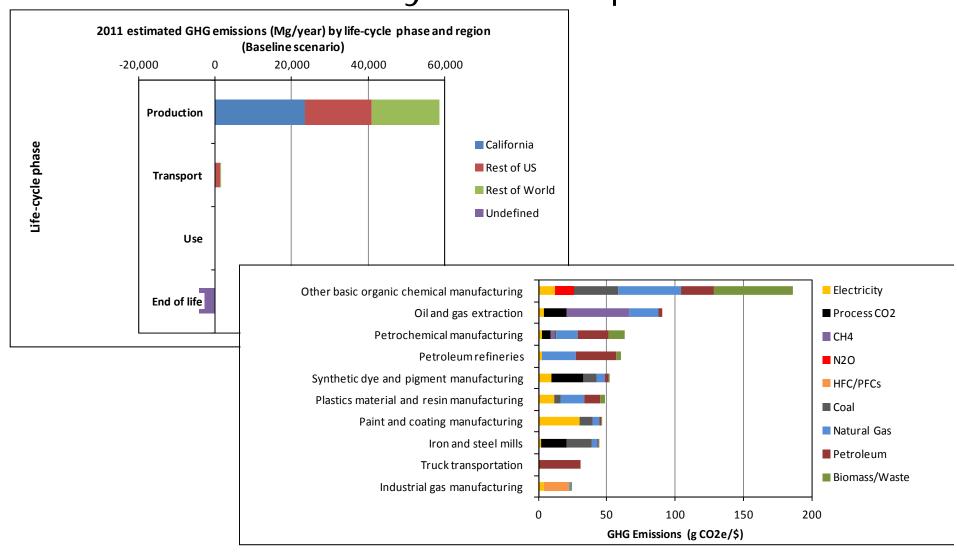
Application to California Policy Analysis: Potential of Product Carbon Labels (for the California Air Resources Board)

Research questions

- 1. By how much might GHG emissions be reduced across the life-cycle of a given product if carbon labels and/or standards are successful in driving the market to best practice for low carbon and energy efficient life cycles?
- 2. Of the estimated emissions reductions, how much is likely to occur within California?

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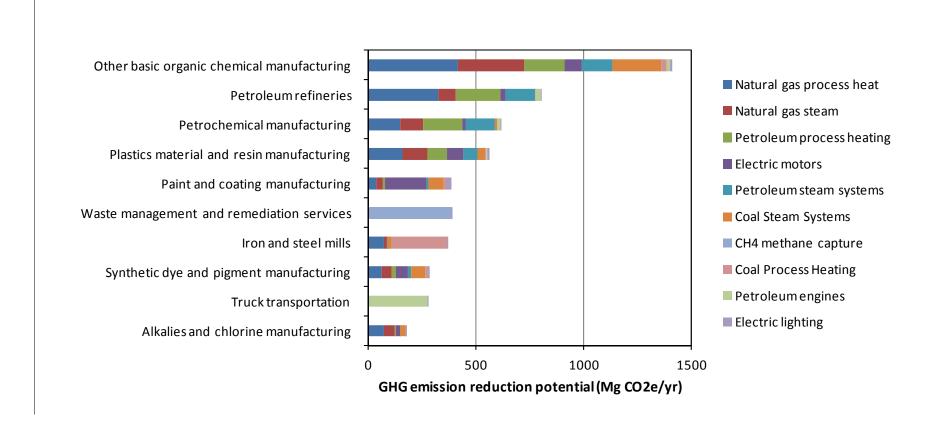
Product analysis example: Paint

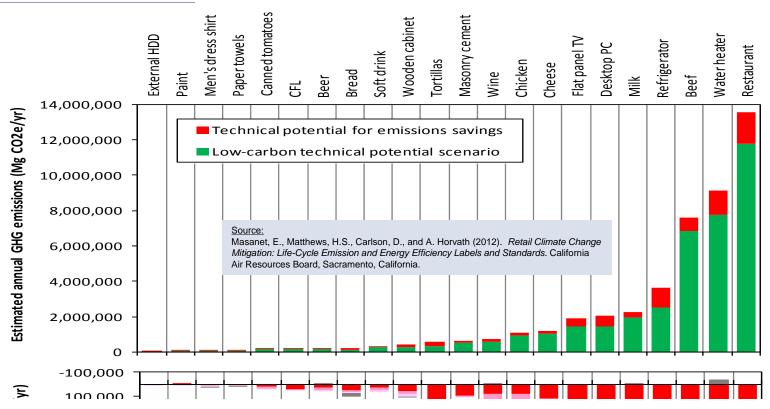


Top 10 sectors for supply chain GHG emissions



Paint supply chain GHG emissions reductions opportunities (<3 year payback)





Policy-relevant insights

- Products must be selected strategically based on savings potential IN ADDITION TO total emissions footprints
- Much focus is on food, but greater savings may be achieved via appliances and services
- •Methodology developed can help identify opportunities for large in-state savings; this enables strategic policy rather than inefficient and costly "blanket" approaches with questionable returns

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How Green is That Product? An Introduction to Life Cycle Assessment

- Nine-week MOOC (January March, 2014)
- Goal: a basic quantitative introduction to LCA for scientists and engineers

Topics:

- Rationale for LCA
- Quantitative basics (mass and energy balancing, scaling, unit process modeling)
- Goal and scope definition
- LCI and LCIA
- o Interpretation
- ISO 14040 standards
- Course project





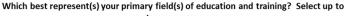
How Green Is That Product? An Introduction to Environmental Life Cycle Assessmen

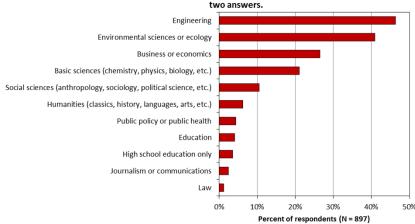
Some statistics

- Total student enrollments as of the course start date (Jan 25, 2014): around 17,000
- Students who watched all lecture videos: around 1,200
- Students who watched at least one lecture video: around 8,200
- Students who turned in one or more homework assignments: around 2,300
- Students who passed the course (final grade >=70%): around 700
- Students who passed the course with distinction (final grade >=90%): around 400
- Total discussion forum views: around 42,000
- Total discussion forum posts and comments: around 6,900

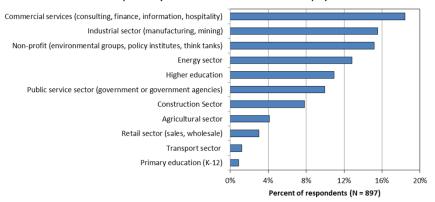
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Advancing LCA pedagogy Shifting needs of LCA students

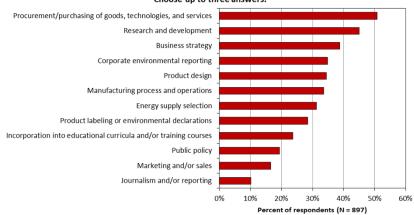




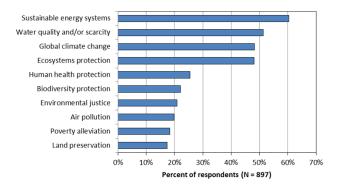
Which best represents your current or intended sector of employment?



To which types of applications and/or decisions do you intend to apply LCA? Choose up to three answers.



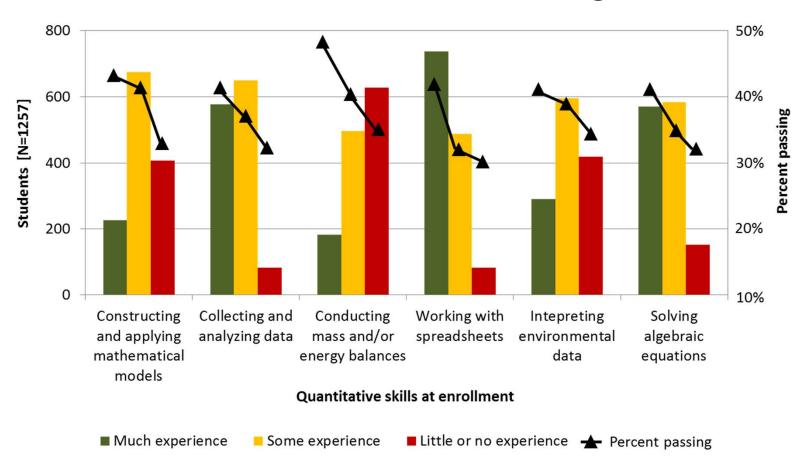
If you could apply LCA to help solve pressing sustainability problems, which would you choose? Choose your three highest priorities.



Masanet, E., and Y. Chang. (2014) "Who Cares About LCA? A Survey of 900 Prospective LCA Practitioners." Journal of Industrial Ecology. In press.

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Advancing LCA pedagogy Core skills and training



Masanet, E., Chang, Y., Yao, Y., Briam, R., and R. Huang (2014). "Reflections on a Massive Open Online LCA Course." International Journal of Life Cycle Assessment. In press.



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Thank you for your attention!

Questions?