



Memorandum

Secretariat

James Administration Bldg., Room 313
Tel.: 514-398-5127 / Fax: 514-398-4758

TO: Senate

FROM: Mr. Stephen Strople, Secretary-General

SUBJECT: Annual Report on Safe Disclosure

DATE: February 15, 2012

DOCUMENT #: D11-47

ACTION REQUIRED: INFORMATION APPROVAL/DECISION

ISSUE: Annual Report of the Policy on Safe Disclosure for 2010-2011.

BACKGROUND & RATIONALE: Section 8 of the Policy on Safe Disclosure states:

8.1 Once per academic year, the Secretary-General following consultation with the Provost, the Vice-Principal (Research & International Relations) and the Vice-Principal (Administration & Finance) shall make a report to Senate and the Board of Governors, which report shall include:

- (i) the number of reports filed by Disclosers;
- (ii) the number of reports investigated;
- (iii) the findings of investigations conducted pursuant to a report;
- (iv) any action taken pursuant to an investigation.

8.2 The annual report of Improper Activities shall respect the privacy of Disclosers and Respondents

MOTION OR RESOLUTION FOR APPROVAL: N/A

PRIOR CONSULTATION: Provost
Vice-Principal (Research & International Relations)
Vice-Principal (Administration & Finance)

NEXT STEPS: N/A

APPENDICES: Appendix A: Annual Report of the Policy on Safe Disclosure;

Annual Report of the Policy on Safe Disclosures 2010-2011

Introduction

The *Policy on Safe Disclosure*, approved by Senate and the Executive Committee of the Board of Governors (see Senate minutes (23 May 2007, Minute 6) and Board of Governors minutes (30 October 2007, Minute 7), amended 20 January 2010, Minute 3.3), came into force on 30 October 2007.

The Policy calls for the Secretary-General to report annually to Senate on the application of the Policy. This report is presented to Senate in discharge of this obligation for the period 1 November 2010 through 31 October 2011.

Report

During the twelve-month period from 1 November 2010 through 31 October 2011, no reports utilizing the process established by the *Policy on Safe Disclosure* have been filed with the Secretary-General (or any other member of the senior administration of the University). Thus, no reports have been filed under the Policy since it came into effect.