

POLICY NAME	POLICY RELATING TO THE NAMING OF UNIVERSITY ASSETS
<b>Approving Body</b>	Senate Board of Governors
<b>Initial Approval Date</b>	April 19, 2006 – Senate May 1, 2006 – Board of Governors (Executive Committee)
<b>Date of last review</b>	December 4, 2019 - Senate December 5, 2019 - Board of Governors
<b>Date of next review</b>	December 2024
<b>Executive Sponsor</b>	Vice-President (University Advancement) Secretary-General
<b>Related Documents</b>	<a href="#">Gift Acceptance Policy</a>  <a href="#">Regulation on Conflicts of Interest</a>

## PART I - PURPOSE AND SCOPE

### 1. PURPOSE

- 1.1. It is a long-standing and honorable university custom to name existing and proposed tangible and intangible assets after founders, donors, volunteers, governors, staff members and others (“**University Community**”) who have made exceptional contributions to the University and its mission or to the broader local, national or international community.
- 1.2. Naming provides meaningful opportunities for the recognition of outstanding contributions by those who serve as inspiration for future generations of students, graduates, and members of the University Community. Further, by recognizing exceptional contributions to the University or to the community, the association with such extraordinary persons strengthens the University and its sense of heritage and connection to the community.
- 1.3. The naming of an asset is of considerable significance to the University for several reasons:
  - 1.3.1. The choice of names selected for such recognition reflects how the University perceives itself, its mission and its values;

- 1.3.2. The assets available for naming are limited while the number of individuals (or organizations) who could potentially be honored by a naming is much greater – the limited number of naming opportunities must therefore be used judiciously; and
- 1.3.3. Care must be taken to ensure consistency in the tribute paid by the University to its staff, donors and other meritorious persons.
- 1.4. This Policy has been adopted as a means of ensuring that the above reasons, and the process and principles that guide the naming of University Assets, are clearly articulated, understood and uniformly applied by all those involved.
- 1.5. As of the date of its approval by the Board of Governors, this Policy shall be incorporated by reference and deemed to be part of any Naming Proposal and Memorandum of Agreement, hereafter provided for.

## 2. SCOPE

- 2.1. This Policy applies to the naming of all tangible and intangible assets (“**University Assets**”), whether existing or proposed, in recognition of individuals or Organizations, including but not limited to:

### 2.1.1. Tangible Assets:

- (a) buildings and parts thereof (wings, lecture and seminar rooms, laboratories, reading rooms, atria, foyers, etc.);
- (b) collections of books, archives, art and other documentary and/or cultural materials;
- (c) equipment;
- (d) open spaces, gardens, fields, etc.; and
- (e) other natural features or physical improvements.

### 2.1.2. Intangible Assets:

- (a) academic units (faculties, departments, schools, institutes, centres, libraries, etc.);
- (b) academic programs within one or more academic units;
- (c) endowed or limited term chairs;
- (d) awards, including scholarships and bursaries, to support or recognize students;
- (e) fellowships, professorships and other academic position support;
- (f) administrative posts (deanships, chairs, directorships, etc.);
- (g) events, both academic (lectures, symposia, conferences, etc.) and non-academic (athletic and other extracurricular events, etc.); and
- (h) student services and other services.

## PART II – POLICY PROVISIONS

### 3. PRINCIPLES

- 3.1. The naming of University Assets must conform to the following principles:
- 3.1.1. Naming of a University Asset shall be made in accordance with this Policy and all related policies and guidelines.
  - 3.1.2. Each naming shall enhance the mission, values and priorities of the University while preserving the University's integrity and academic freedom;
  - 3.1.3. Naming at the University shall be independent of all appointment, admission and curriculum decisions;
  - 3.1.4. Naming shall not be granted in a manner that implies the University's endorsement of a political or ideological position or the use of a particular product or service;
  - 3.1.5. Naming of academic units and programs shall not impede the University from altering its academic and research priorities;
  - 3.1.6. Buildings, academic units, programs, institutes and centres shall be named only after individuals;
  - 3.1.7. Persons recognized in a naming must be of sound reputation;
  - 3.1.8. Naming of University Assets in recognition of an organization, including corporation, corporate foundation or other similar entity, ("**Organization**") shall be permitted, however, for a defined period of time and shall exclude the naming of University Assets listed in paragraph 3.1.6 above;
  - 3.1.9. For any naming of a University Asset, the University shall seek, where possible, the written permission from the intended honoree, or, if deceased, members of the intended honoree's family; and
  - 3.1.10. Naming of University Assets shall comply with University funding minima and standards in effect from time to time.

### 4. NAMING AUTHORITY

- 4.1. Authority for the approval of the naming or renaming of University Assets is vested in the Board of Governors. Section 7 of this Policy outlines the approval process required for naming University Assets.

- 4.2. Subject to paragraph 4.3 below, The Board of Governors, however, delegates its authority to name University Assets to the President, with due respect to the approval process outlined in Section 7. The President shall report annually to the Board of Governors on the exercise of the President's authority.
- 4.3. The Board of Governors shall retain its authority to approve naming of academic units, programs, institutes, centres, buildings and other significant tangible assets ("**Significant Assets**").

## 5. DURATION OF NAMING

### 5.1. Naming Recognizing Individuals

- 5.1.1. Any naming of a University Asset recognizing an individual shall remain in place for either the useful life of the asset or a limited term, as agreed to by the parties, and subject to the further terms hereof.
- 5.1.2. The duration for a naming recognizing an individual shall be consistent with University practice, taking account of the relevant person's association with the University and giving history, as applicable, as well as the size and prominence of the asset in question. Generally, the duration shall not be more than 100 years.
- 5.1.3. Notwithstanding paragraph 5.1.1 above, where (i) a University Asset is named after an individual, and (ii) the University Asset so named is directly supported by an endowment fund (such as endowed Chairs or scholarships), the University may maintain such naming for the term of the endowment (in most cases, for a perpetual term).

### 5.2. Naming Recognizing Organizations

- 5.2.1. Any naming of a University Asset recognizing an Organization shall be for a limited term.
- 5.2.2. Notwithstanding paragraph 5.2.1 above, where (i) a University Asset is named after an Organization, and (ii) the University Asset so named is directly supported by an endowment fund (such as endowed Chairs or scholarships), the University may maintain such naming for the term of the endowment (in most cases, for a perpetual term).

- 5.3. Derogation. To request a derogation from this Section 5, the Naming Sponsor (as defined in paragraph 7.2 below) shall make a recommendation to the person(s) vested with the Naming Authority, pursuant to Section 4 of this Policy, for final decision-making.

## 6. TIMING OF ACTIVATION

- 6.1. No commitment (verbal or written) shall be made by the University or any member of the University Community to any person for the naming of a University Asset without first obtaining the requisite approvals in accordance with Section 7 of this Policy.
- 6.2. Once such approval has been granted, any naming in recognition of a donor will only take effect once a significant portion of the donation has been received by the University.
- 6.3. Notwithstanding paragraph 6.2 above, any naming of an award (including bursaries and scholarships) to support or recognize students shall take effect at the time of approval (either in accordance with Section 7 of this Policy or by the Committee on Enrollment and Student Affairs (“CESA”), as the case may be).
- 6.4. Naming of a tangible University Asset or a Significant Asset in honour of a member of the University Community who has retired or departed from the University, or in memory of a member of the University Community who is deceased, shall not be conferred until two (2) years following the honoree’s retirement, departure or death.
  - 6.4.1. Any exception to the naming delay contemplated in paragraph 6.4 above shall be at the request of the Naming Sponsor and subject to approval by the person(s) vested with the Naming Authority, pursuant to Section 4 of this Policy.

## 7. APPROVAL PROCESS

- 7.1. This Section 7 shall not apply to any named awards to support or recognize students with a value of less than one million dollars (\$1,000,000), as the approval of these awards is delegated to CESA.
- 7.2. Naming recommendations may originate from any member of the University Community who shall forward a recommendation in writing to the individual with the overall responsibility for the asset in question, including but not limited to: A Dean/Director, the Provost and Executive Vice-President (Academic), or a Vice-President (the “**Naming Sponsor**”).
- 7.3. The Naming Sponsor shall evaluate the proposed naming and, if it is deemed worthy of further action, shall develop a written naming proposal, incorporating the principles set forth in Section 3 above, and in accordance with the requirements in Section 8 below, supporting the case for the naming, and seek the written opinion of the Provost and Executive Vice-President (Academic), the Vice-President (University Advancement), and the Vice-President (Administration and Finance) on the merits of pursuing the proposal.
- 7.4. Once these opinions have been received, a recommendation is made to the President, including the opinions of the Provost and Executive Vice-President (Academic), the Vice-President (University Advancement), and the Vice-President (Administration and Finance). All opinions

must be unanimously supportive of the Naming Proposal before further steps in the approval process can be taken.

- 7.5. The President, once satisfied that the Naming Proposal conforms to this Policy and that the recommendation is deserving of support, may, subject to the following paragraph, grant approval to the naming.
- 7.6. In the case of the naming a Significant Asset, the President shall consult with an *ad hoc* committee composed of members of the Board of Governors, the Senate, and the University Community. Following such consultation the President may recommend approval of the Naming Proposal to the Board of Governors.
- 7.7. The *ad hoc* committee stipulated in paragraph 7.6 above shall be formed by the President or by the Secretary-General on the President's behalf.
- 7.8. Notwithstanding any of the provisions of this Section 7, if a proposed naming relates to campus development (e.g. construction or renovation) or the creation of a new academic unit, program, institute or centre, all necessary governmental and University approvals for such development or creation must be obtained before a naming may be finally approved.

## **8. CONTENTS OF NAMING PROPOSALS**

- 8.1. Naming proposals shall be in writing and shall contain the following:
  - (a) a clear description of the naming recommendation being proposed;
  - (b) a clear indication of the importance of the naming to the University;
  - (c) the proposed term (and rationale therefor) of the naming and its short and long term implications, including a provision for the future renaming of the asset;
  - (d) the appropriateness of the timing of the naming (where the naming is in recognition of a retired or deceased individual);
  - (e) other particular conditions, concerns, or impacts of the naming on the academic unit and/or the University;
  - (f) a provision acknowledging that due diligence has been conducted; and
  - (g) a provision incorporating the provisions of this Policy as an integral part of the proposal or agreement.
- 8.2. Naming Proposals associated with donors, in addition to the matters referred to in paragraph 8.1 above, shall be approved by the relevant parties and also contain:
  - (a) a statement as to the nature and impact of the gift meriting named recognition and the expected schedule of payment;

(b) the significance of the gift as it relates to the realization and/or success of the project/priority or to the enhancement of the project's/priority's usefulness to the University; and

(c) where the naming is to be of a new unit or program, its relevance, sustainability and impact on the University's or faculty's strategic priorities.

## **9. DUE DILIGENCE**

9.1. The Naming Sponsor shall conduct an appropriate due diligence to be reasonably assured that the person honored by the naming is of sound reputation and that the naming complies with this Policy. University Advancement and Legal Services may be requested to assist with such due diligence from time to time.

9.2. The person(s) vested with the Naming Authority, pursuant to Section 4 of this Policy, shall be satisfied that the obligation of due diligence has been fulfilled.

## **10. CONFIDENTIALITY**

10.1. Any discussions of a proposed naming, including Naming Proposals, shall be dealt with in the strictest of confidence by all parties involved in the process until the naming is publicly announced by the University.

## **11. RENAMING OF UNIVERSITY ASSETS**

11.1. The University may rename an asset under the following circumstances:

(a) if a named asset has been or will be altered in its form, nature or use;

(b) at the expiration of the useful life of the asset or term of a naming, if applicable; or

(c) if a naming is terminated in accordance with Section 12 below.

11.2. A proposal to rename an asset or to add a second name shall adhere to the same procedures and criteria for naming set out above. Any eventual approved renaming is subject to the further naming terms of this Policy.

11.3. For a proposed renaming pursuant to paragraph 11.1(a) or 11.1(b), the University Advancement unit shall consult the head of the relevant unit concerning the potential renaming of an asset under the purview of such head of unit.

11.4. For a proposed renaming pursuant to paragraph 11.1(a), which may involve (a) renaming of the original asset named for the balance of an applicable term; or (b) naming of a different asset for

the balance of an applicable term; the University shall consult the other party or parties concerned (e.g. donor, donor representative), if practicable.

- 11.5. In the event of an approved renaming, which relates to a gift agreement or other agreement in effect, the University and the party or parties concerned shall document the revised naming terms and conditions by a signed agreement in writing, where possible.

## 12. REVOCATION OF NAMING

- 12.1. A naming may be revoked where retention of the name would be prejudicial to the University's reputation and/or found to be in contradiction to the University's mission or values.
  - 12.1.1. In the event of a revocation of a naming in recognition of a donor pursuant paragraph 12.1 above, the University shall not be required to return any portion of the gift already received.
- 12.2. In addition to the rights of the University set forth in paragraph 12.1 above, the University shall retain the right to revoke a naming in recognition of a donor if there is a shortfall in the anticipated payment(s), following reasonable notice to the donor and an opportunity to cure.
  - 12.2.1. In case of such a shortfall, the University may (1) revoke the naming and offer the donor(s) an alternate naming commensurate with the actual giving level; and (2) shall not be required to return any portion of the gift already received.
- 12.3. The person(s) vested with the Naming Authority, pursuant to Section 4 of this Policy, has the authority to revoke a naming pursuant to this Section 12. Any revocation herein shall be contingent on fulfillment of the obligation of due diligence by the individual(s) responsible for recommending the revocation of a naming (to be referred to as the "**Revocation Sponsor**").
- 12.4. The revocation process shall parallel the approval process pursuant to Section 7 of this Policy and the Revocation Sponsor shall take the lead in following all of the steps in the revocation process in the same manner that the Naming Sponsor leads the steps in the approval process.

## 13. PLAQUES AND SIGNS RECOGNIZING NAMES

- 13.1. Plaques and other signs recognizing a naming shall be of generally uniform design and contain the name of the honoree and the date of dedication or, as appropriate, the date on which the project was substantially completed.
- 13.2. All plaques and signs are subject to University policies and guidelines applicable to signage. Names may be abbreviated where there are space constraints such as in campus maps or in online communications.

## 14. RECORDS

- 14.1. Once final approval of the naming has been granted and the naming has been publicly announced, a copy of the Naming Proposal, Memorandum of Agreement, deed of donation, record of approval and other relevant documents shall be deposited with the Secretariat.
- 14.2. The University Advancement Office shall also keep a complete record of all Naming Agreements and related documents for any naming associated with a philanthropic gift.
- 14.3. The Office of Campus and Space Planning shall be responsible for maintaining and updating an inventory of named assets.

## 15. LEGAL REVIEW

- 15.1. Legal Services of the University shall review Naming Proposals, Memoranda of Agreement, deeds of donation and other relevant documents relating to the following; and shall make recommendations to the President concerning any legal and contractual aspects of:
  - (a) Naming in recognition of a donor or honoree
  - (b) Modifications of any naming
  - (c) Revocation of any naming

## PART III – AUTHORITY TO APPROVE PROCEDURES

The Vice President (University Advancement) is vested with the authority to approve procedures as they relate to this Policy.

## PART IV – REVIEW

This Policy shall be reviewed at least every five (5) years or as deemed necessary by the Executive Sponsors and/or the Board of Governors.

<b>Legislative History:</b>		
<b><u>Approved:</u></b>		
Senate	April 19, 2006	Minute 15
Executive Committee	May 1, 2006	Minute 3
<b><u>Amended:</u></b>		
Executive Committee	December 14, 2010	Minute 6
Senate	September 22, 2011	Minute IIB7
Board of Governors	September 27, 2011	Minute 6
Executive Committee	September 4, 2014	Minute 6
<b><u>Revised:</u></b>		
Senate	December 4, 2019	Minute 1
Board of Governors	December 5, 2019	Minute 12.1