

# Insulin-Like Growth Factor-I Receptor Signaling and Resistance to Trastuzumab (Herceptin)

Yuhong Lu, Xiaolin Zi, Yunhua Zhao, Desmond Mascarenhas, Michael Pollak

**Background:** Trastuzumab (Herceptin), an anti-HER2/neu receptor monoclonal antibody that inhibits growth of ErbB2-overexpressing breast cancer, is used to treat such cancers. Development of resistance to trastuzumab, however, is common. We investigated whether insulin-like growth factor-I (IGF-I), which activates cell survival signals, interferes with the growth-inhibitory action of trastuzumab. **Methods:** MCF-7/HER2-18 and SKBR3 human breast cancer models were used to assess cell proliferation, colony formation in soft agar, and cell cycle parameters. Throughout, we used trastuzumab at a dose of 10  $\mu\text{g}/\text{mL}$  and IGF-I at a dose of 40  $\text{ng}/\text{mL}$ . All statistical tests were two-sided. **Results:** Trastuzumab inhibited the growth of MCF-7/HER2-18 cells, which overexpress HER2/neu receptors and express IGF-I receptors (IGF-IRs), only when IGF-IR signaling was minimized. For example, in 1% fetal bovine serum (FBS), trastuzumab reduced cell proliferation by 42% ( $P = .002$ ); however, in 10% FBS or IGF-I, trastuzumab had no effect on proliferation. In SKBR3 cells, which overexpress HER2/neu receptor but express few IGF-IRs, trastuzumab reduced proliferation by 42% ( $P = .008$ ) regardless of IGF-I concentration. When SKBR3 cells were genetically altered to overexpress IGF-IRs and cultured with IGF-I, trastuzumab had no effect on proliferation. However, the addition of IGF-binding protein-3, which decreased IGF-IR signaling, restored trastuzumab-induced growth inhibition. **Conclusions:** In breast cancer cell models that overexpress HER2/neu, an increased level of IGF-IR signaling appears to interfere with the action of trastuzumab. Thus, strategies that tar-

get IGF-IR signaling may prevent or delay development of resistance to trastuzumab.

The HER2/neu (ErbB2) proto-oncogene encodes a 185-kd transmembrane receptor protein with intrinsic tyrosine kinase activity. No soluble ErbB2 ligand has been identified, but ligand binding to the other members of the ErbB family induces receptor heterodimerization and activation of ErbB2 (1–5). Overexpression of ErbB2 was hypothesized to be associated with an aggressive phenotype, and this association was observed in clinical correlative studies (6,7).

Many methods have been used to therapeutically target HER2 in HER2-overexpressing cancers (8,9), including the use of anti-HER2/neu antibodies (10,11). Preclinical studies (11–13) demonstrated the antiproliferative activity of the murine 4D5 antibody and a humanized version of 4D5, named trastuzumab (Herceptin; Genentech, San Francisco, CA), against HER2/neu-overexpressing breast cancers. Clinical trials (14–17) have shown that trastuzumab has important activity against HER2-positive metastatic breast cancer.

Trastuzumab is often cited as a prototype for rationally designed antineoplastic drugs that target critical signal transduction pathways (8,17,18). However, not all HER2-overexpressing cancer cells respond to treatment with 4D5 or trastuzumab (19), and the clinical benefit of the drug is limited by the fact that most cancers become resistant to trastuzumab therapy in less than 12 months (16,17). The mechanisms of resistance to trastuzumab are poorly understood.

The association between insulin-like growth factor (IGF) physiology and neoplasia is supported by epidemiologic evidence that higher levels of circulating IGF-I are associated with increased risk of several cancers (20–26) and laboratory evidence that IGF signaling pathways are perturbed in neoplastic cells (27–29). IGF-I receptor (IGF-IR) activation stimulates signaling pathways involved in mitogenesis and cell survival (30,31). Therefore, we examined the possibility that IGF-IR signaling interferes with the anti-proliferative actions of trastuzumab.

## MATERIALS AND METHODS

### Cell Culture and Transfection

SKBR3 human breast cancer cells (from the American Type Culture Collection, Manassas, VA) and MCF-7/HER2-18 cells (32) (provided by Dr. M. Alaoui-Jamali, McGill University, Montreal, PQ, Canada) were cultured in RPMI-1640 medium supplemented with 10% fetal bovine serum (FBS) at 37 °C and 5% CO<sub>2</sub> and 95% air.

A 4.7-kilobase, full-length human IGF-IR complementary DNA was obtained from the PECE/IGF-IR plasmid (33) by *EcoRI* digestion and was inserted into the pcDNA3.1(+) expression vector (Invitrogen Inc., Carlsbad, CA). SKBR3 cells stably transfected with pcDNA3.1(+)/IGF-IR were generated by calcium phosphate transfection and selected after 3 weeks in G418 at 800  $\mu\text{g}/\text{mL}$  (Life Technologies, Inc. [GIBCO BRL], Burlington, ON, Canada).

### Cell Proliferation Assay

We used a 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT) assay after confirming high correlation of this end point with a cell number end point in preliminary work. We plated 10<sup>5</sup> cells in six-well plates in medium containing 10% FBS. After 24 hours, the medium was changed to test medium specific for each experiment. After 72 hours, the MTT assay was done in triplicate: MTT (Sigma Chemical Co., St. Louis, MO) was added to a final concentration of 1  $\text{mg}/\text{mL}$ , the reaction mixture was incubated for 3 hours at 37 °C, and the absorbance was measured at 570 nm. The 95% confidence interval (CI) never exceeded 81% to 119% of the mean for each observation. Trastuzumab was purchased from the Oncology Pharmacy of the Jewish General Hospital, Montreal, and was used at 10  $\mu\text{g}/\text{mL}$  unless otherwise specified; recombinant human IGF-binding protein-3 (IGFBP-3) and IGF-I were from Protigen Incorporated, Mountain View, CA, and were used at 1  $\mu\text{g}/\text{mL}$  and 40  $\text{ng}/\text{mL}$ , respectively, unless otherwise specified.  $\alpha$ -IR3, a blocking antibody against the IGF-IR, was from Oncogene Research Products (Boston, MA).

### Soft-Agar Assay

Anchorage-independent cell growth was measured in six-well plates. A 1-mL layer of 0.8% agar (Sigma Chemical Co.) in tissue culture medium was

---

*Affiliations of authors:* Y. Lu, X. Zi, Y. Zhao, M. Pollak, Department of Oncology, Jewish General Hospital, and McGill University, Montreal, PQ, Canada; D. Mascarenhas, Protigen Incorporated, Mountain View, CA.

*Correspondence to:* Michael Pollak, M.D., Jewish General Hospital, 3755 Côte Ste-Catherine, Montreal, PQ, Canada H3T 1E2 (e-mail: michael.pollak@mcgill.ca).

See "Notes" following "References."

solidified in the bottom of each well. Cells to be assayed were suspended at 37 °C in 1 mL of 0.35% agar in tissue culture medium, and then  $3 \times 10^3$  MCF-7/HER2-18 cells,  $5 \times 10^3$  SKBR3 cells, or  $5 \times 10^3$  SKBR3/IGF-IR cells were added per well. Trastuzumab, IGF-I, and IGFBP-3 were then added in 2 mL of medium to the top of the agar, and the medium was changed every 3 days. After about 25 days, all of the colonies were counted under a dissection microscope. Colonies were defined as clusters of 30 or more cells. The 95% CI never exceeded 76% to 124% of the mean for each observation.

## Flow Cytometry

The cells were plated in 100-mm dishes at 30% confluence. After 24 hours, the medium was changed to the test medium. After 72 hours, the cells were washed twice with ice-cold phosphate-buffered saline (PBS) and fixed in 70% ethanol at -20 °C overnight. The cells were washed twice with ice-cold PBS and resuspended in propidium iodide buffer (i.e., PBS [pH 7.4], 0.1% Triton X-100, 0.1 mM EDTA, ribonuclease A [0.05 mg/mL], and propidium iodide [50 µg/mL]). After 30 minutes at room temperature, the cell cycle distribution was determined by flow cytometry with a FACScan (Beckman-Coulter, Fullerton, CA). Duplicate experiments yielded similar results.

## Western Blots

After each treatment, the cells were lysed in RIPA buffer (0.1 mM dibasic sodium phosphate, 1.7 mM monobasic sodium phosphate, 150 mM NaCl, 1% Nonidet P-40, 0.5% sodium deoxycholate, 0.1% sodium dodecyl sulfate [SDS], 0.2 mM sodium vanadate, 0.2 mM phenylmethylsulfonyl fluoride, and aprotinin at 0.2 U/mL). Protein from clarified lysates (20–60 µg) was resolved electrophoretically on denaturing SDS–polyacrylamide gels (8%–12%), transferred to nitrocellulose membranes, and probed with the following primary antibodies: anti-Cdk2 (where Cdk is cyclin-dependent kinase), anti-Cdk6, anti-cyclin E, and anti-IGF-IR $\beta$  from Santa Cruz Biotechnology (Santa Cruz, CA) and anti-p21<sup>Cip1</sup>, anti-Cdk4, and anti-p27<sup>Kip1</sup> from Neomarkers, Inc. (Fremont, CA). The position of proteins was visualized with horseradish peroxidase-conjugated anti-mouse or anti-rabbit antibodies.

## Immunoprecipitation

To assess the effects of IGF-I and recombinant human IGFBP-3 on IGF-IR activation in SKBR3 and SKBR3/IGF-IR cells, we washed cultures that were 70%–80% confluent twice and then added serum-free medium for 24 hours. Fifteen minutes before harvesting, the cultures were treated with saline vehicle, IGFBP-3 at 1 µg/mL, and/or IGF-I at 40 ng/mL at 37 °C. Monolayers were quickly washed twice with ice-cold PBS and lysed with 0.4 mL of lysis buffer (i.e., 10 mM Tris–HCl [pH 7.4], 150 mM NaCl, 1% Triton X-100, 1 mM EDTA, 1 mM EGTA [i.e., ethyleneglycolbis(aminoethyl)-N,N,N',N'-tetraacetic acid], 0.2 mM sodium vanadate, 0.2 mM phenylmethylsulfonyl fluoride, 0.5% Nonidet P-40, and aprotinin at 0.2 U/mL). Phosphotyrosine levels in IGF-IR were measured in protein extracts (300 µg) by use of anti-IGF-IR $\beta$  and a specific anti-

phosphotyrosine antibody (Upstate Biotechnology, Lake Placid, NY).

For Cdk2-p27<sup>Kip1</sup> and Cdk2-p21<sup>Cip1</sup> coimmunoprecipitation experiments, clarified protein lysates (200 µg/mL) were precleared with 25 µL of protein A–Sepharose (Santa Cruz Biotechnology) and precipitated with 2 µg of anti-Cdk2 antibody (Santa Cruz Biotechnology) and 25 µL of protein A–Sepharose overnight at 4 °C; Cdk2-p27<sup>Kip1</sup> and Cdk2-p21<sup>Cip1</sup> complexes were detected by immunoblotting.

## Statistical Analysis

All of the data are shown as means and 95% CIs, unless otherwise specified. To assess the statistical significance of observed differences, we used Student's *t* test. All statistical tests were two-sided, and *P* values less than .05 were considered to be statistically significant.

## RESULTS

### Effects of IGF-I and Trastuzumab on MCF-7/HER2-18 Cells

MCF-7/HER2-18 cells are MCF-7 human breast cancer cells that overexpress HER2/neu (32). We confirmed the observation (32) that the growth of neither MCF-7 nor MCF-7/HER2-18 cells in 10% FBS is inhibited by trastuzumab (data not shown). The growth of MCF-7/HER2-18 cells, however, was inhibited by trastuzumab when the FBS concentration was reduced (Fig. 1, A), suggesting that a component of FBS blocks the antiproliferative effect of trastuzumab. IGF-I (40 ng/mL) reduced trastuzumab-induced growth inhibition to the same extent as 10% FBS (Fig. 1, B).

MCF-7 cells express IGF-IRs, and their growth is inhibited by the anti-IGF-IR antibody  $\alpha$ -IR3 or the IGF-binding protein IGFBP-3, both of which interfere with ligand–receptor interactions (34,35). MCF-7/HER2-18 cells were responsive to IGF-I, and the modest trastuzumab-induced growth inhibition observed with 5% FBS was enhanced by  $\alpha$ -IR3 or IGFBP-3 (Fig. 1, C). In 5% FBS, trastuzumab inhibited proliferation to 83% of control (*P* = .04), whereas trastuzumab plus  $\alpha$ -IR3 at 0.5 µg/mL or trastuzumab plus IGFBP-3 at 1 µg/mL inhibited proliferation to 50% and 47% of control, respectively (*P* < .001 in each case). Dose–response data for IGFBP-3 effects on proliferation singly and in combination with trastuzumab are shown in Fig. 1, D. When anchorage-independent MCF-7/HER2-18 cell growth was assayed in soft agar with 10% FBS, trastuzumab alone did not inhibit colony formation, IGFBP-3 (1 µg/mL) alone reduced colony forma-

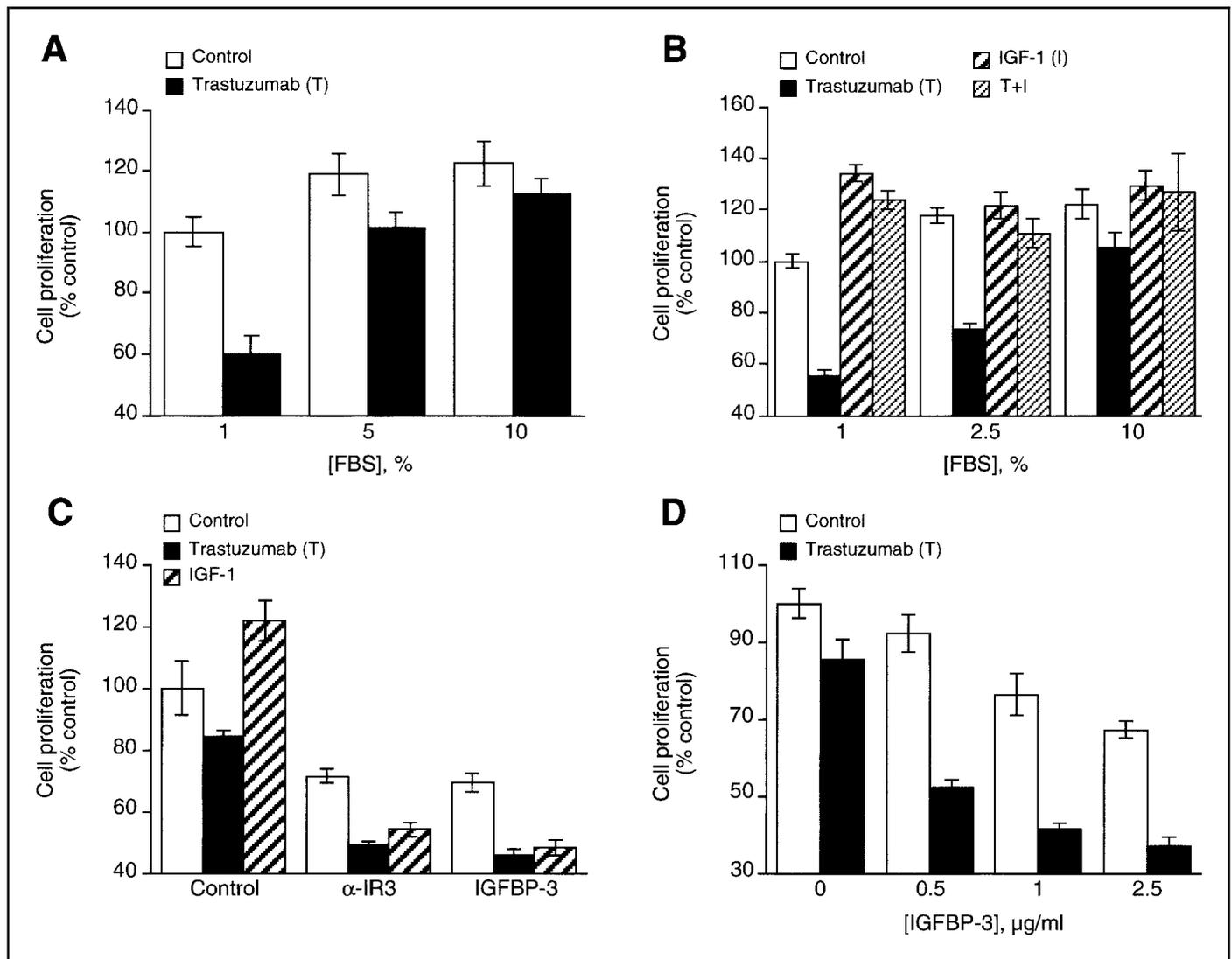
tion by 29%, and the combination reduced colony formation by 82%, which was statistically significantly greater (*P* < .001) than growth inhibition with either agent alone (data not shown).

### Overexpression of IGF-IR and Trastuzumab-Induced Growth Inhibition of SKBR3 Cells

SKBR3 human breast cancer cells overexpress HER2/neu but have less than 10% the number of IGF-IRs in MCF-7/HER2-18 cells. The IGF-IR-transfected SKBR3 clone SKBR3/IGF-IR has about sevenfold more IGF-IRs than MCF-7/HER2-18 cells. Mock-transfected SKBR3/neo cells and SKBR3 cells have approximately the same number of IGF-IRs, as estimated by densitometric scanning of western blots (data not shown).

In contrast to the findings with MCF-7/HER2-18 cells, trastuzumab inhibits SKBR3/neo cell proliferation in the presence or absence of FBS (11). Maximal trastuzumab-induced growth inhibition of SKBR3/neo cells was 42% (Fig. 2, A). The proliferation rate of SKBR3/IGF-IR cells was 125% that of SKBR3/neo cells, and trastuzumab reduced their proliferation by only 20% (Fig. 2, A). In soft-agar assays (Fig. 2, B), trastuzumab reduced SKBR3 colony formation by 62%, but it reduced SKBR3/IGF-IR colony formation by only 12% (Fig. 2, B). Trastuzumab was a statistically significantly better inhibitor of SKBR3/neo cells than of SKBR3/IGF-IR cells under both anchored (*P* = .02) and anchorage-independent (*P* = .004) conditions.

In the presence of IGF-I, SKBR3/neo cells but not SKBR3/IGF-IR cells were growth inhibited by trastuzumab. Trastuzumab statistically significantly reduced (*P* = .004) the proliferation of SKBR3/neo cells but did not affect (*P* = .92) the proliferation of SKBR3/IGF-IR cells (Fig. 2, C). Addition of IGFBP-3 restored or even enhanced the trastuzumab-induced growth inhibition of SKBR3/IGF-IR cells in the presence of IGF-I (Fig. 2, C). In SKBR3/IGF-IR cells cultured with IGF-I and trastuzumab, where trastuzumab had no growth-inhibitory effect on cell proliferation, immunoprecipitation experiments detected the highest level of IGF-IR phosphorylation (i.e., activation); addition of IGFBP-3 to these cultures reduced IGF-IR phosphorylation by 75% (data not shown).



**Fig. 1. A)** Insulin-like growth factor-I (IGF-I) reduces the inhibitory effect of trastuzumab on MCF-7/HER2-18 cells. MCF-7/HER2-18 cells were cultured in indicated concentrations of fetal bovine serum (FBS) only or with trastuzumab at 10  $\mu\text{g}/\text{mL}$ , and proliferation was measured with the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT) assay, with the use of 1% FBS in the absence of trastuzumab as the control condition. Differences in cell proliferation between control and trastuzumab-treated cultures were as follows: 1% FBS, 100% versus 58% (difference = 42%;  $P = .002$ ); 5% FBS, 120% versus 101% (difference = 19%;  $P = .04$ ); and 10% FBS, 121% versus 115% (difference = 6%;  $P = .7$ ). **B)** IGF-I at 40 ng/mL is at least as effective as 10% FBS in decreasing the antiproliferative effect of trastuzumab on MCF-7/HER2-18 cells. MCF-7/HER2-18 cells were cultured in indicated concentrations of FBS only or with IGF-I (40 ng/mL), trastuzumab (10  $\mu\text{g}/\text{mL}$ ), or both, and cell proliferation was measured with the MTT assay, with the use of 1% FBS in the absence of both IGF-I and trastuzumab as the control condition. At 1% FBS, proliferation in the presence of trastuzumab and IGF-I was 121% of control, which was not statistically significantly different ( $P = .34$ ) from proliferation in the presence of IGF-I without trastuzumab (131% of control) or from proliferation in the presence of 10% FCS and trastuzumab (112% of control;  $P = .87$ ). **C)** IGF-binding protein-3 (IGFBP-3) at 1  $\mu\text{g}/\text{mL}$  or anti-IGF-I receptor antibody

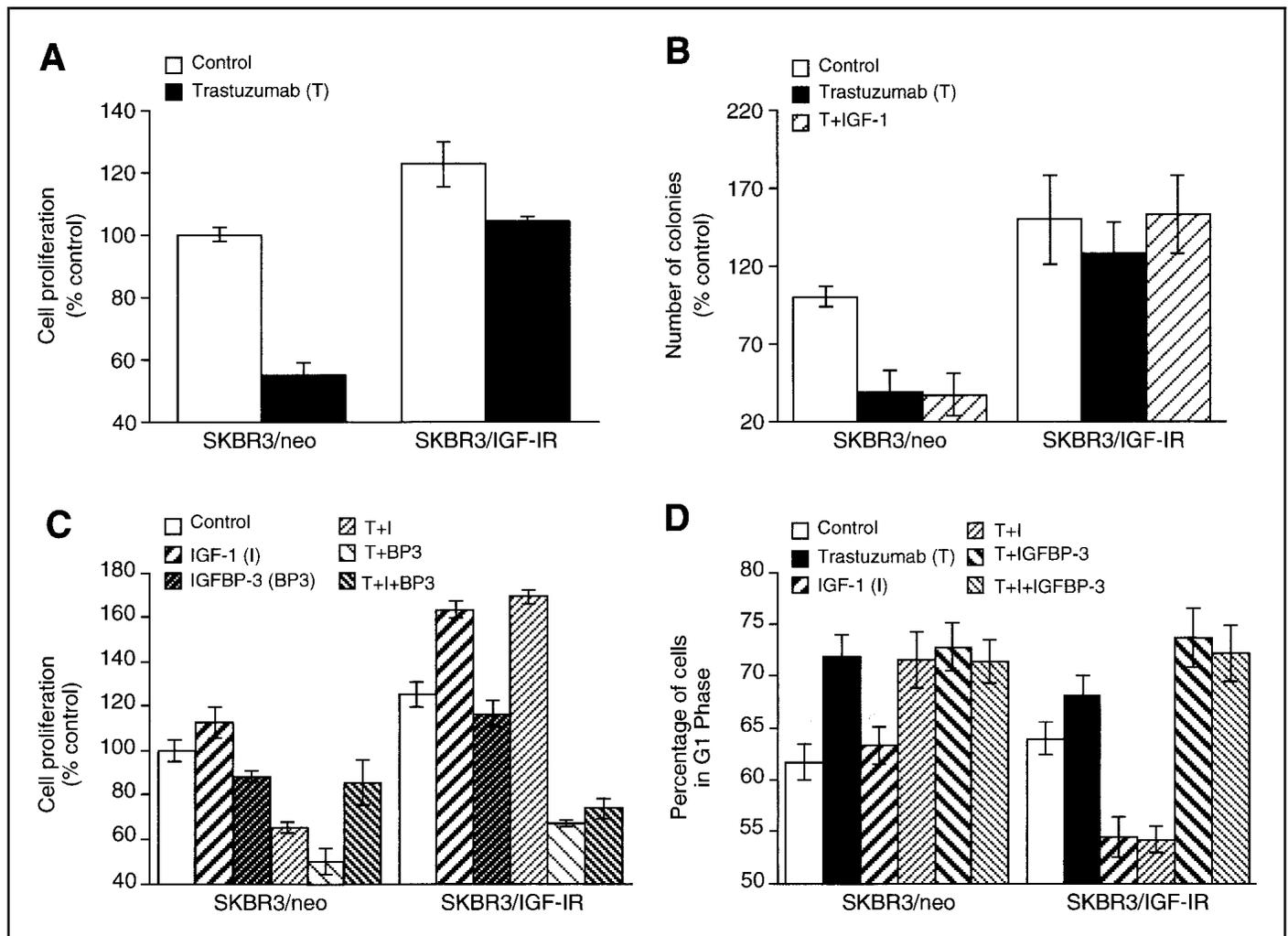
$\alpha$ -IR3 at 0.5  $\mu\text{g}/\text{mL}$  increases trastuzumab-induced growth inhibition of MCF-7/HER2-18 cells. MCF-7/HER2-18 cells were cultured in 5% FBS in the absence  $\alpha$ -IR3, trastuzumab, and IGF-I (the control condition) or at the indicated combinations, and proliferation was measured with the MTT assay. Trastuzumab statistically significantly decreased proliferation to 83% of control ( $P = .04$ ) in the absence of IGFBP-3 and  $\alpha$ -IR3. Proliferation in the presence of both  $\alpha$ -IR3 and trastuzumab (50% of control) or both IGFBP-3 and trastuzumab (47% of control) was each statistically significantly less than that in the presence of trastuzumab alone ( $P = .012$  and  $P = .008$ , respectively). **D)** IGFBP-3 enhances the antiproliferative action of trastuzumab on MCF-7/HER2-18 cells in a dose-dependent manner. MCF-7/HER2-18 cells were cultured in 5% FBS in the absence of trastuzumab and IGFBP-3 (the control condition) or with IGFBP-3 at the indicated concentrations in the presence or absence of trastuzumab, and proliferation was measured with the MTT assay. Without IGFBP-3, trastuzumab reduced proliferation to 88% of control (of borderline statistical significance [ $P = .04$ ]). In the presence of IGFBP-3 at 0.5, 1, and 2.5  $\mu\text{g}/\text{mL}$ , trastuzumab reduced proliferation to 51% ( $P < .001$ ), 42% ( $P < .001$ ), and 37% ( $P < .001$ ) of control, respectively. For all panels, data shown are the means; **error bars** are 95% confidence intervals. All statistical tests were two-sided. Experiments were repeated in triplicate.

### Overexpression of IGF-IR and Trastuzumab-Induced G<sub>1</sub>-Phase Arrest

An increased proportion of cells in G<sub>1</sub> phase is associated with the reduced

rate of cell proliferation induced by trastuzumab or antibody 4D5 (19,36). Flow cytometry revealed that trastuzumab increased the percentage of SKBR3/IGF-IR cells and SKBR3/neo cells in G<sub>1</sub>

phase, but the increase was less in SKBR3/IGF-IR cells (Fig. 2, D). In SKBR3/IGF-IR cells, this increase was blocked completely by IGF-I and restored by IGFBP-3.



**Fig. 2.** Expression of insulin-like growth factor-I receptor (IGF-IR) decreases trastuzumab-induced growth inhibition of SKBR3 cells. IGF-IR-transfected SKBR3 cells (designated SKBR3/IGF-IR) and mock-transfected SKBR3/neo cells were prepared. Proliferation on plastic was quantitated by the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT) assay, and anchorage-independent growth in soft agar was quantitated by counting colonies. **A)** Trastuzumab-induced growth inhibition (in 10% fetal bovine serum [FBS]) is reduced by transfection of IGF-IR. Control values reflect proliferation of SKBR3/neo cells in the absence of trastuzumab. Trastuzumab reduced proliferation of SKBR3/neo cells by 42% (from 100% of control to 58% of control;  $P = .008$ ) and proliferation of SKBR3/IGF-IR cells by 20% (from 125% of control to 105% of control;  $P = .03$ ). **B)** Trastuzumab-induced reduction in soft-agar colony formation in 10% FBS is reduced by transfection of IGF-IR. Control values are soft-agar colony numbers of SKBR3/neo cells observed in the absence of trastuzumab or insulin-like growth factor-I (IGF-I). Trastuzumab reduced the number of SKBR3/neo colonies by 62% (from 100% of control to 38% of control;  $P = .008$ ) and the number of SKBR3/IGF-IR cells by 12% (from 139% of control to 127% of control;  $P = .04$ ). **C)** In the presence of IGF-I, transfection of IGF-IR completely blocks the antiproliferative effect of trastuzumab. The control proliferation rate is that observed for SKBR3/neo cells in 1% FBS without trastuzumab, IGF-I, or IGF-binding protein-3 (IGFBP-3). In the presence of IGF-I, trastuzumab reduced the proliferation of SKBR3/neo cells from 111% of control to 68% of control ( $P = .004$ ), but trastuzumab had no

effect on proliferation of SKBR3/IGF-IR cells (160% of control in the absence of trastuzumab versus 164% in its presence;  $P = .92$ ). When SKBR3/IGF-IR cells were incubated with IGFBP-3, IGF-I, and trastuzumab, proliferation was 78% of control, less than that observed when these cells were cultured with IGF-I ( $P < .001$ ) or IGF-I and trastuzumab ( $P < .001$ ) and not statistically significantly different from the 84% of control proliferation observed for SKBR3/neo cells under these conditions ( $P = .065$ ). **D)** Effects of trastuzumab and IGF-I on cell cycle distribution of SKBR3/neo and SKBR3/IGF-IR cells. Under control conditions (1% FBS without IGF-I, trastuzumab, or IGFBP-3), the percentages of SKBR3/neo cells and SKBR3/IGF-IR cells in G<sub>1</sub> phase were 62% and 64%, respectively. The increase in the percentage of cells in G<sub>1</sub> phase associated with trastuzumab was greater ( $P = .03$ ) for SKBR3/neo cells (to 72%, increase of 10%) than for SKBR3/IGF-IR cells (to 67%, increase of 3%). For SKBR3/neo cells, IGF-I had no statistically significant effect on the percentage of cells in G<sub>1</sub> phase compared with the control condition ( $P = .8$ ), and it did not alter the effect of trastuzumab on this end point. For SKBR3/IGF-IR cells, IGF-I statistically significantly decreased the percentage of cells in G<sub>1</sub> phase from 68% to 55% ( $P < .001$ ) and blocked completely the ability of trastuzumab to increase the percentage of cells in G<sub>1</sub> phase (55% in the presence of IGF-I alone and 54% in the presence of IGF-I and trastuzumab;  $P = .99$ ). IGFBP-3 blocked the IGF-I-induced decrease in percentage of SKBR3/IGF-IR cells in G<sub>1</sub> phase. For all panels, data shown are the means; error bars are 95% confidence intervals. All statistical tests were two-sided. Experiments were repeated in triplicate.

### Modification of Downstream Effects of Trastuzumab by IGF-I

Anti-HER2/neu antibodies induce the Cdk inhibitor p27<sup>Kip1</sup>, which associates with Cdk2 and contributes to growth in-

hibition (36). By using western blots, we investigated the effect of IGF-IR signaling on Cdk inhibitors. Baseline levels of p27<sup>Kip1</sup> and p21<sup>Cip1</sup> were substantially lower in SKBR3/IGF-IR cells than in SKBR3/neo cells. Trastuzumab induced

p27<sup>Kip1</sup> expression in SKBR3/neo cells but not in SKBR3/IGF-IR cells. An increased amount of Cdk2-associated p27<sup>Kip1</sup> was observed in trastuzumab-treated SKBR3/neo cells but not in trastuzumab-treated SKBR3/IGF-IR cells (data not shown).

Early- and mid-G1 cyclins were reported previously to be inhibited by anti-HER2 antibodies (36). We observed that cyclin E levels were higher in SKBR3/IGF-IR cells than in SKBR3/neo control cells. Although trastuzumab reduced cyclin E levels in both lines, the reduction was greater in SKBR3/neo cells. IGF-I reversed this reduction in SKBR3/IGF-IR cells (data not shown).

## DISCUSSION

The common occurrence of clinical resistance to trastuzumab suggests either that many molecular alterations can confer such resistance or that a few mechanisms conferring such resistance arise frequently. In the latter case, there would be a particularly strong rationale for determining whether targeting both HER2/neu and a pathway commonly implicated in resistance would be clinically beneficial. Our results suggest that molecular alterations resulting in enhanced activation of IGF-IR and/or pathways distal to IGF-IR represent one family of mechanisms of resistance to trastuzumab.

Specific molecular lesions that might confer such resistance to trastuzumab include overexpression of IGF-I or IGF-II, underexpression of growth-inhibitory IGF-BPs, overexpression of IGF-BP proteases, or reduced activity of intracellular phosphatases, such as PTEN, that normally limit IGF-I signaling. Although these alterations have been described in breast cancer, it has not been possible to observe sequential changes in gene expression as trastuzumab-sensitive cancers become resistant to trastuzumab, because women are not generally subjected to repeat biopsy after trastuzumab resistance has been observed clinically.

IGF-IR signaling has not been reported previously to confer resistance to trastuzumab-induced growth inhibition. This mechanism is plausible, however, because IGF-I and trastuzumab affect downstream events, such as cyclin E expression, in opposite directions (9,36–38). Given recent insights into signaling networks (39–45), it is likely that IGF-I and trastuzumab perturb these networks in opposite directions, rather than acting independently on two linear signaling pathways that intersect at one node.

Our results suggest that targeting several signal transduction pathways simultaneously may lead to more effective control of neoplastic growth than targeting a single pathway and add to the evidence

(25–29) that the IGF-IR pathway is an attractive target. However, we urge the establishment of banks of sequential tumor biopsy specimens to determine if changes in signal transduction networks (particularly IGF-IR signaling) associated with the development of resistance to trastuzumab or to other drugs in patients correlate with findings in laboratory models.

## REFERENCES

- (1) Yarden Y, Sliwkowski MX. Untangling the ErbB signalling network. *Nat Rev Mol Cell Biol* 2001;2:127–37.
- (2) Wada T, Qian XL, Greene MI. Intermolecular association of the p185neu protein and EGF receptor modulates EGF receptor function. *Cell* 1990;61:1339–47.
- (3) Karunakaran D, Tzahar E, Beerli RR, Chen X, Graus-Porta D, Ratzkin BJ, et al. ErbB-2 is a common auxiliary subunit of NDF and EGF receptors: implications for breast cancer. *EMBO J* 1996;15:254–64.
- (4) Beerli RR, Graus-Porta D, Woods-Cook K, Chen X, Yarden Y, Hynes NE. Neu differentiation factor activation of ErbB-3 and ErbB-4 is cell specific and displays a differential requirement for ErbB-2. *Mol Cell Biol* 1995;15:6496–505.
- (5) Graus-Porta D, Beerli RR, Hynes NE. Single-chain antibody-mediated intracellular retention of ErbB-2 impairs Neu differentiation factor and epidermal growth factor signaling. *Mol Cell Biol* 1995;15:1182–91.
- (6) Slamon DJ, Godolphin W, Jones LA, Holt JA, Wong SG, Keith DE, et al. Studies of the HER-2/neu proto-oncogene in human breast and ovarian cancer. *Science* 1989;244:707–12.
- (7) Slamon DJ, Clark GM, Wong SG, Levin WJ, Ullrich A, McGuire WL. Human breast cancer: correlation of relapse and survival with amplification of the HER-2/neu oncogene. *Science* 1987;235:177–82.
- (8) Bange J, Zwick E, Ullrich A. Molecular targets for breast cancer therapy and prevention. *Nat Med* 2001;7:548–52.
- (9) Yu D, Hung MC. Overexpression of ErbB2 in cancer and ErbB2-targeting strategies. *Oncogene* 2000;19:6115–21.
- (10) Baselga J, Mendelsohn J. Receptor blockade with monoclonal antibodies as anti-cancer therapy. *Pharmacol Ther* 1994;64:127–54.
- (11) Hudziak RM, Lewis GD, Winget M, Fendly BM, Shepard HM, Ullrich A. p185HER2 monoclonal antibody has antiproliferative effects *in vitro* and sensitizes human breast tumor cells to tumor necrosis factor. *Mol Cell Biol* 1989;9:1165–72.
- (12) Baselga J, Norton L, Albanell J, Kim YM, Mendelsohn J. Recombinant humanized anti-HER2 antibody (Herceptin) enhances the anti-tumor activity of paclitaxel and doxorubicin against HER2/neu overexpressing human breast cancer xenografts. *Cancer Res* 1998;58:2825–31.
- (13) Pietras RJ, Pegram MD, Finn RS, Maneval DA, Slamon DJ. Remission of human breast

cancer xenografts on therapy with humanized monoclonal antibody to HER-2 receptor and DNA-reactive drugs. *Oncogene* 1998;17:2235–49.

- (14) Baselga J, Tripathy D, Mendelsohn J, Baughman S, Benz CC, Dantis L, et al. Phase II study of weekly intravenous recombinant humanized anti-p185HER2 monoclonal antibody in patients with HER2/neu-overexpressing metastatic breast cancer. *J Clin Oncol* 1996;14:737–44.
- (15) Pegram MD, Lipton A, Hayes DF, Weber BL, Baselga JM, Tripathy D, et al. Phase II study of receptor-enhanced chemosensitivity using recombinant humanized anti-p185HER2/neu monoclonal antibody plus cisplatin in patients with HER2/neu-overexpressing metastatic breast cancer refractory to chemotherapy treatment. *J Clin Oncol* 1998;16:2659–71.
- (16) Baselga J. Clinical trials of Herceptin (trastuzumab). *Eur J Cancer* 2001;37 Suppl 1:18–24.
- (17) Slamon DJ, Leyland-Jones B, Shak S, Fuchs H, Paton V, Bajamonde A, et al. Use of chemotherapy plus a monoclonal antibody against HER2 for metastatic breast cancer that overexpresses HER2. *N Engl J Med* 2001;344:783–92.
- (18) Eisenhauer EA. From the molecule to the clinic—inhibiting HER2 to treat breast cancer [editorial]. *N Engl J Med* 2001;344:841–2.
- (19) Lane HA, Beuvink I, Motoyama AB, Daly JM, Neve RM, Hynes NE. ErbB2 potentiates breast tumor proliferation through modulation of p27(Kip1)–Cdk2 complex formation: receptor overexpression does not determine growth dependency. *Mol Cell Biol* 2000;20:3210–23.
- (20) Chan JM, Stampfer MJ, Giovannucci E, Gann PH, Ma J, Wilkinson P, et al. Plasma insulin-like growth factor-I and prostate cancer risk: a prospective study. *Science* 1998;279:563–6.
- (21) Holly JM. Insulin-like growth factor-I and new opportunities for cancer prevention. *Lancet* 1998;351:1373–5.
- (22) Smith GD, Gunnell D, Holly J. Cancer and insulin-like growth factor-I. A potential mechanism linking the environment with cancer risk [editorial]. *BMJ* 2000;321:847–8.
- (23) Pollak M. Insulin-like growth factor physiology and cancer risk. *Eur J Cancer* 2000;36:1224–8.
- (24) Hankinson SE, Willett WC, Colditz GA, Hunter DJ, Michaud DS, Deroo B, et al. Circulating concentrations of insulin-like growth factor-I and risk of breast cancer. *Lancet* 1998;351:1393–6 [see also editorial comments *Lancet* 1998;351:1373–5].
- (25) Yu H, Rohan T. Role of the insulin-like growth factor family in cancer development and progression. *J Natl Cancer Inst* 2000;92:1472–89.
- (26) Pollak M. Insulin-like growth factors and prostate cancer. *Epidemiol Rev* 2001;23:59–66.
- (27) Resnicoff M, Baserga R. The role of the insulin-like growth factor I receptor in transformation and apoptosis. *Ann N Y Acad Sci* 1998;842:76–81.
- (28) Khandwala HM, McCutcheon IE, Flyvbjerg A, Friend KE. The effects of insulin-like growth factors on tumorigenesis and neoplastic growth. *Endocr Rev* 2000;21:215–44.

- (29) Nickerson T, Chang F, Lorimer D, Smeekens SP, Sawyers CL, Pollak M. *In vivo* progression of LAPC-9 and LNCaP prostate cancer models to androgen independence is associated with increased expression of insulin-like growth factor I (IGF-I) and IGF-I receptor (IGF-IR). *Cancer Res* 2001;61:6276–80.
- (30) O'Connor R, Fennelly C, Krause D. Regulation of survival signals from the insulin-like growth factor-I receptor. *Biochem Soc Trans* 2000;28:47–51.
- (31) LeRoith D, Werner H, Beitner-Johnson D, Roberts CT Jr. Molecular and cellular aspects of the insulin-like growth factor I receptor. *Endocr Rev* 1995;16:143–63.
- (32) Benz CC, Scott GK, Sarup JC, Johnson RM, Tripathy D, Coronado E, et al. Estrogen-dependent, tamoxifen-resistant tumorigenic growth of MCF-7 cells transfected with HER2/neu. *Breast Cancer Res Treat* 1993;24:85–95.
- (33) Gustafson TA, Rutter WJ. The cysteine-rich domains of the insulin and insulin-like growth factor I receptors are primary determinants of hormone binding specificity. Evidence from receptor chimeras. *J Biol Chem* 1990;265:18663–7.
- (34) Nickerson T, Huynh H, Pollak M. Insulin-like growth factor binding protein-3 induces apoptosis in MCF7 breast cancer cells [published erratum appears in *Biochem Biophys Res Commun* 1997;240:246]. *Biochem Biophys Res Commun* 1997;237:690–3.
- (35) Hwa V, Oh Y, Rosenfeld RG. The insulin-like growth factor-binding protein (IGFBP) superfamily. *Endocr Rev* 1999;20:761–87.
- (36) Le XF, McWatters A, Wiener J, Wu JY, Mills GB, Bast RC Jr. Anti-HER2 antibody and heregulin suppress growth of HER2-overexpressing human breast cancer cells through different mechanisms. *Clin Cancer Res* 2000;6:260–70.
- (37) Lai A, Sarcevic B, Prall OW, Sutherland RL. Insulin/insulin-like growth factor-I and estrogen cooperate to stimulate cyclin E-Cdk2 activation and cell cycle progression in MCF-7 breast cancer cells through differential regulation of cyclin E and p21<sup>WAF1/Cip1</sup>. *J Biol Chem* 2001;276:25823–33.
- (38) Dupont J, Karas M, LeRoith D. The potentiation of estrogen on insulin-like growth factor I action in MCF-7 human breast cancer cells includes cell cycle components. *J Biol Chem* 2000;275:35893–901.
- (39) Evan GI, Vousden KH. Proliferation, cell cycle and apoptosis in cancer. *Nature* 2001;411:342–8.
- (40) Blume-Jensen P, Hunter T. Oncogenic kinase signalling. *Nature* 2001;411:355–65.
- (41) Chang L, Karin M. Mammalian MAP kinase signalling cascades. *Nature* 2001;410:37–40.
- (42) Schlessinger J. Cell signaling by receptor tyrosine kinases. *Cell* 2000;103:211–25.
- (43) Fambrough D, McClure K, Kazlauskas A, Lander ES. Diverse signaling pathways activated by growth factor receptors induce broadly overlapping, rather than independent, sets of genes. *Cell* 1999;97:727–41.
- (44) Gschwind A, Zwick E, Prenzel N, Leserer M, Ullrich A. Cell communication networks: epidermal growth factor receptor transactivation as the paradigm for interreceptor signal transmission. *Oncogene* 2001;20:1594–600.
- (45) Jordan JD, Landau EM, Lyengar R. Signaling networks: the origins of cellular multitasking. *Cell* 2000;103:193–200.

## NOTES

*Editor's note:* Representatives of Protigen Incorporated reviewed and approved this report. D. Mascarenhas is the chief executive officer of Protigen Incorporated, which supplied the insulin-like growth factor-binding protein-3 for this research.

Supported by grants from the "Streams of Excellence" Program of the Canadian Breast Cancer Initiative, from the National Cancer Institute of Canada, and from the Canadian Institutes for Health Research.

We thank colleagues in the Canadian Breast Cancer Research Initiative Streams of Excellence Program for useful discussions of this work.