

What is musical prosody?

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Prosody is the music of everyday speech [Wennerstrom, 2001]

I. Introduction

Music performance is prevalent everywhere. Concert attendance, recording sales, and critics' reviews suggest that people are sensitive to and have definite preferences for particular performances. Performers add variation to music; they manipulate the sound properties, including frequency (pitch), time, amplitude, and timbre (harmonic spectrum) above and beyond the pitch and duration categories that are determined by composers. These manipulations are called "musical expression" and cognitive psychologists have speculated on why performances contain expression. One hypothesis is that musical expression communicates emotions (Juslin & Sloboda, 2001). Another is that it clarifies structure (Kendall & Carterette, 1990). That structure may be indicated by a composer in a musical score (Lerdahl & Jackendoff, 1983) as well as by a performer in an interpretation: the performer's shaping of the music according to his or her own intentions (Apel, 1972). Each of these hypotheses relies on the fact that music expresses something, and the object of study is often what is expressed in music. But to understand *what* is expressed requires that we understand *how* it is expressed; the *how* often delineates or identifies the *what*. Examples might include remembering a familiar tune by its tempo, or recognizing the end of a phase by marked decrease in tempo and loudness.

The ways in which musicians manipulate acoustic signals to create expression bears considerable resemblance to the ways in which talkers manipulate

speech. In speech, the acoustic changes in frequency, amplitude, and duration that form grouping, prominence, and intonation are called “prosody”; these features are systematic within each language and are thought to be rule-governed and distinct from other structural levels of linguistic analysis (Beckman, 1996; Pierrehumbert, 1999). We refer here to musical expression as “musical prosody” because, as in speech, performers manipulate music for certain expressive and coordinating functions. Acoustic properties of speech and music can be manipulated to a certain extent without changing the categorical information (the words as they might be written, or the musical pitches as they might be notated). Because linguistic and musical items are constrained by different acoustic properties (for example, timing defines duration categories in music but not in speech), some acoustic dimensions may play a larger role in one domain than in another. Thus, prosody reflects acoustic variations on dimensions within each domain, while maintaining important categorical distinctions. We focus here on the forms and functions of musical prosody, and whether it is rule-governed and distinct from other forms of musical structure.

Not much attention has been paid to the role of musical prosody. One reason for this is an assumption that most aspects of memory for musical pitch or duration are relative (not absolute), and that subcategorical expressive features (such as frequency differences smaller than musical pitch categories) cannot be retained in memory once the items are perceived (Raffman, 1993). This position is similar to normalization views of speech perception, in which extralinguistic speaker variation was treated as noise to be filtered out (for a discussion see Pisoni, 1997).

Counterevidence to this position toward performer variation in music, however, is gaining to suggest that listeners do retain absolute details about pitch height (Levitin 1994; Schellenberg & Trehub, 2003) and tempo of familiar music (Levitin & Cook 1996), as well as subcategorical note-to-note variations in musical expression (Palmer, Jungers, & Jusczyk, 2001). Infants can remember the absolute details of tone intensities and durations as well (Palmer et al, 2001; Trainor, Wu, & Tsang, 2004). Thus, music is a good example of an intricate acoustic nonverbal system in which expression is remembered.

The role that prosodic features of music play in acquisition is particularly of interest; prosody may provide information to help novices (infants as well as late acquisition learners) parse a continuous acoustic stream into meaningful units and bootstrap learning of complex hierarchical relationships among those units. Listeners' knowledge of complex tonal and rhythmic structure in music is acquired through implicit knowledge gained from long-term exposure to music of a particular culture (Bharucha, 1987; Krumhansl, 1990; Trehub, 2000); it is unknown how much of that acquisition is influenced by prosodic features. Later in this paper, we consider how prosodic features of music influence segmentation and implicit learning of musical structure.

A. Musical prosody is obligatory

Musicians perform in a variety of ways in Western cultures. In many performances, musicians express their interpretation of previously composed music; the composition is learned either by reading a notated musical score or by hearing it performed. In improvisatory performance, musicians create the composition as they

perform or create a variation on something they have heard or read. A musical composition denotes categorical pitches and durations. However, the physical instantiation of intensity, tempo (rate), articulation (relations of tone offsets to successive tone onsets such as in staccato / legato), and timbre are usually underdetermined by the composition; in most cases, they must be determined by the performer. Because some instantiation of these factors is necessary, we consider prosody to be obligatory (not that there is an obligatory way in which prosody must be instantiated). Although frequency and timbre are fixed on piano and other keyboard instruments, most musical instruments permit variation in frequency and timbre, as well as variation in intensity and tempo. Some forms of expression are notated in a musical score; for example, intensity, tempo, and even emotional connotations can be indicated by composers or by editors, although these markings tend to be in a small number of large categories. However, the majority of acoustic features that the musician must choose are obligatory, in the same way that they are obligatory in speech (Pierrehumbert, 1999).

There are several ramifications of an obligatory prosody: first, every musical performance represents some choice of the physical variables that give rise to perception of stress, accent, rhythm, and intensity contour (including crescendos and decrescendos). Even computer-generated music, in which all tones have fixed and equivalent durations, intensities, and tempo, requires some choice of tempo and absolute intensity level by the composer/programmer. Those choices have important perceptual outcomes; computer-generated performances are perceived as flat or dull (Kendall & Carterette, 1990; Palmer, 1996b), similar to how computer-generated

speech can be perceived as mechanical. Second, a musician tends to reproduce performances of the same music with the same prosodic choices. An example of a pianist's rendition of a Mozart piano sonata (Palmer, 1996a) is shown in Figure 1; the prosodic choices of timing and intensity for each tone were highly similar across the repeated section of the performance (measures 1-16). Finally, musicians' prosodic choices change systematically with the musical context in which the tones appear. Excerpts from musical compositions are produced with different expressive nuances when performed in or out of a particular melodic context; for example, phrase-final lengthening (the choice of making final tones in a sequence longer than others) will be greater for the last tones in a musical excerpt when it is performed on its own than when it is embedded in a longer sequence. Also, musical excerpts are produced with different nuances when they are placed one melodic context than in another (Palmer, Jungers & Jusczyk, 2001). One ramification is that experiments that use musical events produced in isolation, such as chords or tones, will reflect different prosodic structure from what is produced for the same musical events in context. Similar points have been made for speech (Pierrehumbert, 1999).

Insert Figure 1 here

B. Musical prosody alters with intent: Interpretations

One of the earliest empirical findings in music cognition was that musicians never perform events evenly (with equal duration, intensity, and articulation – note offset to successive note onset) (Seashore, 1936). Performers often reproduce a

musical performance with the same expression (Seashore, 1937), which indicates that the variations in how events are produced are not random. Furthermore, attempts to play mechanically (without expression) reduce the expressive nuances, and attempts to play exaggeratedly enhance the expressive nuances (Gabrielsson, 1987; Palmer, 1989a; Seashore, 1936). An example of a pianist's intent to perform with a particular phrasing interpretation is shown in Figure 2 (from Palmer, 1989). The difference between the expressive performance (solid line) and the intent to perform without expression (the dashed line) indicates the degree to which prosodic features of slowing down at phrase endings alter with intent. Thus, expressive nuances are systematic and at least some nuances correspond to musical intentions.

Insert Figure 2 here

Most music contains some structural ambiguity with regard to where the phrase boundaries or metrical units will be, how important one musical voice is relative to another, etc. Musical interpretations allow the performer a certain degree of flexibility in how they apply prosodic features. Interpretations reflect a conductor's or performer's modeling of a piece according to their own musical thoughts or ideas (Apel, 1972). Music teachers often discuss the contents of interpretations with students, based on musicological and historical elements, aesthetic elements, and elements of expressive performance that are not necessarily conveyed by a printed score. Although interpretations permit a certain degree of freedom across musicians

and performances, many theorists believe that some interpretations are more preferred or appropriate than others (Cyr, 1992; Neumann, 1982). For example, polyphonic music of J.S. Bach's era does not permit the same freedom of tempo change as romantic music of Chopin's era; indeed, measurements of rubato are greatest in performances of romantic music across a range of performers and compositions (Palmer & van de Sande, 1995; Repp, 1992a; Todd, 1985). Some expressive nuances, such as phrase-final lengthening or progressive slowing of tempo toward the end of major phrasal units, correspond to musical grouping structure as determined by the composition (Todd, 1985, 1992). Other expressive nuances seem tied to performers' individualistic decisions about the prominence given to some musical events over others, such as which tones form major phrase boundaries (Palmer 1989a; Shaffer, 1992) or which tones in chords (simultaneities) are most important (i.e., the melody) (Palmer, 1989a; 1996b).

Given that most music contains structural ambiguity, one can ask whether musical prosody is the result of individualistic interpretations. Do performers have the freedom to express the musical features in acoustic signals in a primarily individualistic manner, or is this mapping constrained by cognitive principles shared across performers and listeners? If the former is true, then we should not expect to see perceptual or cognitive generalities across musical styles or cultures; other factors such as historical period, geographical region, and instrument-specific degrees of freedom may shape musical expression. Although there are many studies of musical expression within Western tonal cultures, there is a paucity of evidence to address cultural differences in musical expression. If the latter is true, we should see

general principles of musical prosody both in the forms that it takes and the functions that it serves. This paper focuses on whether the term "musical prosody" captures general principles of musical expression above and beyond individualistic features of musical interpretation.

II. Definitions of prosody

To define musical prosody, we first consider definitions of linguistic prosody. Classical study of linguistic prosody, interestingly, returns us to music. In traditional study of classical grammar which focused on poetry, prosody was defined as the study of accent, or phonetic properties of syllables and words relevant to the measure of rhythm and meter, especially in verse (La Driere, 1993). Meter, which derives from the Greek *metron*, refers in both verse and in music to metrical units defined by accents, measured in syllables or feet in poetry and in beats in music (American Heritage Dictionary, 2004). Grammatical and rhetorical elements were often combined with musical elements in the late Middle Ages, when poetry was again closely associated with music (La Driere, 1993). Thus it is not surprising that aspects of metrical phonology have developed similarly in linguistic analysis and in musical analysis.

Today, prosody is considered a distinct component of phonological theory, which posits a separate prosodic tier for metrical structure (Levelt, 1989; Liberman & Prince, 1977; Selkirk, 1984). Prosody can refer both to an abstract level of phonological structure and to its acoustic realization in speech (Cutler, Dahan, & Donselaar, 1997; Shattuck-Hufnagel & Turk, 1996). Prosodic cues in speech include acoustic variations in fundamental frequency, spectral information, amplitude, and

relative durations of speech. One approach from phonological theory characterizes prosody in terms of the cognitive structures that are implicit in the minds of speakers in order to explain their use of these variations in speech production and speech perception (Pierrehumbert, 1999). Prosodic structure within the word is important in lexical access, influencing how segmentation works in each language and the set of active competitors for any given word at any given time (Cutler, 1995). Prosodic structure above the word level (phrasing and phrasal prominence) often reflects other dimensions of linguistic analysis, including syntax, semantics, and discourse structure (Ferreira, 2002).

Most definitions of speech prosody distinguish between pitch and time dimensions. For example, prosodic structure of rhythm, grouping, and prominence is often separated from intonational (melodic) structure (Cutler, 1999; Ferreira, 2002; Pierrehumbert, 1999). Intonational structure refers to pitch at the phonological phrase level, often described as the “melody” of the speech. Intonation is carried in the fundamental frequency of the voice, perceived as pitch. The primary functions attributed to intonation in non-tone languages (stress-timed or syllable-timed languages) are to mark the pragmatic information or the emotional information in an utterance (Pell, 2001). In lexical tone languages such as Mandarin or Thai, lexical features interact with intonational features to determine pitch contours assigned to phrases. Intonation and rhythmic prominence (including meter) place simultaneous constraints on each other in prosody (Beckman, 1996).

In contrast to speech, musical pitch is relatively fixed by categorical constraints that are specified in a composition. Although most musical instruments

allow some manipulation of fundamental frequency, performance conventions constrain the amount of pitch variability that can be introduced (vibrato, or a small fluctuation in pitch on a single tone, is an exception). While soloists may slightly alter their intonation at times, this is generally not feasible for ensemble musicians who must perform simultaneously with others, nor is it possible for performance on instruments such as keyboards, which only allow discrete pitch changes. Thus, most of our discussion of musical prosody focuses on rhythm, grouping, and prominence, and not intonation.

The temporal aspects of music and speech prosody bear important similarities, in particular, between musical meter and linguistic stress (Cooper & Meyer, 1960; Lerdahl & Jackendoff, 1983; Selkirk, 1984). The rhythm of speech involves patterning of strong beats that coincide with some syllables. Syllable-timed languages like Spanish are described as having a regular beat pattern in which each syllable coincides approximately with a beat, whereas stress-timed languages like English tend to have beats on stressed syllables and equal time intervals between stressed syllables (Pike, 1945). Vowels in stressed syllables are longer than in unstressed syllables in many (but not all) languages (Lehiste, 1972). Similar to how words differ in the amount of stress that is assigned to their constituent syllables, musical tones differ in the amount of prominence or accent assigned to them. Musical accent, like stress, is hierarchical: usually there are 2-4 levels of accent in a musical phrase (Lerdahl & Jackendoff, 1983; Cooper & Meyer, 1960). Metrical grids are a common formalism for representing both the different levels of stress that syllables receive and the levels of accent that musical tones receive (Lerdahl &

Jackendoff, 1983; Liberman & Prince, 1977). Syllables that are stressed tend to be longer or bear a pitch accent (Ferreira, 2002), and musical tones that bear metrical accent tend to be longer or produced with greater intensity (Drake & Palmer, 1993; Sloboda, 1983).

A major difference in the temporal aspects of music and speech prosody is the degree of isochrony, or temporal regularity. Music of many cultures displays a strong beat regularity as evidenced in written notation and in aural traditions; furthermore, musically untrained listeners can clap along systematically to unfamiliar music that is performed with expressive tempo variations (Drake, Penel & Bigand, 2000). Languages of the world do not display the same degree of regularity in production or perception. Interstress intervals tend to vary as a function of the material within the interval, although evidence of a tendency toward isochrony is seen in some studies when principle determinants of duration (sentential context, lexical content, etc) are controlled for (Cooper & Eady, 1986; Kelly & Bock, 1988). Differences between speech and music in their degree of temporal regularity raise the possibility that prosodic variation may be constrained differently. Prosodic variation in music, whose temporal regularity is high, may permit more deviation and still yield perceptual constancy, whereas prosodic variation in speech, whose temporal regularity is lower, may be pressured to align with other structural (syntactic, semantic) dimensions.

In sum, although music and language differ on many important structural dimensions, both domains have realizations of prosody. Music may be more constrained in terms of pitch and duration categories, but both domains utilize the

flexibility available within the domain. Temporal and pitch manipulations are used prosodically in music, although not to the extent permitted in speech. Linguistic stress and musical accent are both realized in duration and intensity, and are manipulated in similar ways for prosodic purposes. Linguistic meter and musical meter are modeled with similar hierarchical rules, and producers appear to manipulate those dimensions in similar ways to serve prosodic functions. The precise functions are discussed next.

III. Functions of musical prosody

What functions would musical prosody serve? Linguistic prosody serves several functions, some of which do not have direct equivalents in music performance. One such function is to signal the illocutionary intent of the speaker (such as making a statement or a request in “She has left the room(?)”). Another is to disambiguate the meaning of words with similar segmental structure (phonemic stress), such as “greenhouse” versus “green house”. But other prosodic functions that relate less to semantic content may be similar to the functions of music prosody, such as segmenting a continuous acoustic stream into its component units, highlighting items of relative importance (focus and prominence), coordination among producers (including turn-taking), and attributing emotional states to producers. We consider here these functions that musical prosody may play.

A. Segmentation

A major focus of auditory communication is how people segment a continuous signal into important events, their sources, and the relations among them (Bregman, 1990). Music and speech are organized in units of varying temporal extent. A short

segment can serve as a unit at one level and then join with other segments to form longer units at higher levels of organization, in a hierarchical structure (Deutsch & Feroe, 1981; Lerdahl & Jackendoff, 1983). Musical tones combine to form melodic and rhythmic figures, phrases, and larger sections. Listeners with and without musical training are adept at segmenting the musical stream into these units. How do prosodic cues influence this segmentation?

One musical unit that is often marked for segmentation by prosodic cues is the phrase. A musical phrase varies in length and is described as a unit of meaning, often defined by elements at its boundaries (Cogan & Escot, 1976). Performers often marked phrase boundaries with changes in intensity, tone duration, and articulation (Henderson, 1936). Performers on a wide variety of musical instruments tend to use phrase-final lengthening at boundaries by increasingly lengthening successive tones as they approach a structural boundary, even when those tones are notated as equivalent duration in the musical composition (Gabrielsson, 1987; Kendall & Carterette, 1990; Palmer, 1989; Todd, 1985). The patterns of tempo modulations often indicate a hierarchy of phrases, with the amount of slowing at a boundary corresponding to the depth of the phrase embedding (Shaffer & Todd 1987; Todd 1985, 1989). Todd (1985, 1989) proposed a computational model of phrase-final lengthening in music performance; the more important the musical segment in a given phrase, based on a hierarchical analysis of meter and grouping principles (Lerdahl & Jackendoff, 1983), the greater the phrase-final lengthening. The correspondence between phrase-final lengthening and intensity changes in music performance is also strong; unit boundaries are marked by both slowing in tempo

and decreased amplitude (Drake & Palmer, 1993; Palmer 1996b).

Are musical phrases always marked prosodically? Usually, yes. All published research on performance of Western tonal music shows phrase-final lengthening at boundaries. Compositional structure marks phrase boundaries as well with longer duration categories, and listeners attribute phrase structure in the absence of prosodic cues (cf. Palmer & Krumhansl, 1987). Listeners are sensitive to phrase markings as early as infancy. When pauses were placed at appropriate phrase boundaries, infants displayed longer orientation times in a head-turn preference procedure task than to music with phrase boundaries placed at inappropriate phrase boundaries (based on the structural information in the compositional score) (Krumhansl & Jusczyk, 1990). Jusczyk and Krumhansl (1993) further demonstrated that the decreasing pitch height and increasing tone duration that typically marked phrase boundaries were critical for infants' sensitivity to music that is segmented at phrase boundaries. These two variables are the same ones suggested to underlie infants' segmentation of speech (Jusczyk et al, 1992): change in fundamental frequency at the ends of clauses and phrases, and lengthening of syllables before important syntactic boundaries. These similarities raise the possibility that listeners respond to general acoustic properties of acoustic signals that serve to mark important events in auditory perception, rather than duplicating domain-specific segmentation mechanisms.

Other prosodic features of music help to distinguish simultaneities such as musical voices from each other, presumably to aid listeners in focusing limited attentional resources. Performers often manipulate the relationship between the

timing of individual parts that are notated as simultaneous, with intensity differences or onset timing differences; onset asynchronies are a primary aid to stream segregation (Bregman, 1990). Musical ensembles display inter-instrument onset asynchronies among tones notated as simultaneous (chords) that can help listeners distinguish between voices in multivoiced music (Rasch, 1978). Ensemble players who produced the melody (voice of primary importance) tended to precede other voices in string and wind trios by 30 to 50 ms (Rasch, 1979, 1988). Palmer (1989a, 1996b; see also Thompson & Cuddy, 1997) showed further evidence of melodic leading in solo piano performance, giving evidence that timing asynchronies are the result of structural emphasis rather than solely a result of coordination between performers. Goebel (2001) showed that the amount of the melody leads correlated with hammer velocity differences (how fast the keys were struck to create louder sounds) on acoustic piano.

Do prosodic features influence music segmentation? Palmer, Jungers and Jusczyk (2001) reported performances of the same musical excerpt (a musical measure, or metrical unit) placed in different melodic contexts. Figure 3 shows the original prosodic cues produced by a pianist for the excerpt (marked by the box) and the melody in which the excerpt was presented in music notation. Prosodic features of intensity and articulation were used to mark the metrical beats as implied by the meter in which the excerpt was presented. The prosodically marked excerpts were then spliced and placed in computer-generated melodic contexts whose musical structure either matched or mismatched the implicit structure of the prosodic cues. Musically trained and untrained listeners were then familiarized with a performance

of the excerpts out of context, and later had to recognize which excerpts they had heard when the excerpts were placed in the computer-generated melodic contexts. All listeners were less able to recognize the excerpt when its prosodic cues matched the context; when the excerpt was heard in the incorrect context, it became more salient, suggesting that the prosodic cues influenced listeners' segmentation processes. In sum, prosodic cues may not always be necessary for segmentation in music, but when present, prosodic features have a significant influence on how segmentation occurs.

Insert Figure 3 here

Does musical prosody carry information in the absence of tonal or rhythmic content? For example, listeners can still analyze major prosodic phrasing when segmental content is removed in low-pass-filtered speech or in hummed speech (Kreiman, 1982, with lowpass-filtered speech; Collier & Hart, 1975, with hummed sentences). Only a few studies have compared listeners' responses to musical prosody in the absence of pitch (monotonic tone) or rhythmic content (isochronous rhythm). When pitch variation was removed and only durational performance variation remained, listeners were able to identify the phrase structure on the basis of duration-lengthening (Palmer & Krumhansl, 1987). Likewise, when durational variation was removed and pitch variation remained, they were able to indicate phrase structure on basis of pitch variation. Thus, these results suggest that musical prosody is sufficient to signal phrase structure, similar to the speech findings.

B. Prominence

Linguistic prominence, or acoustic highlighting with stress, accent, and other prosodic forms, is used to signal the focus, or the more significant elements of a sentence (Dogil, 2003). Musical prosody may also function to signal the relative prominence of events. Prominence is especially important in ambiguous forms of music for which the meter, phrasing, or the melody (most important voice) might be under-determined by the composition. Performers use prosodic cues to emphasize the metrical and phrase structure of the music (above and beyond the segmental marking of boundaries), especially when the structure is ambiguous. Performers lengthen tones, perform them louder, or change the articulation (more legato or more staccato) to mark metrically important events (Sloboda, 1983, 1985). Gabrielsson (1974) documented in a wide variety of rhythms that musicians performed metrically important beats louder, longer, and more legato relative to other beats. Many studies document a decreased intensity and/or slowing tempo that performers introduce at phrase boundaries (Palmer, 1989b; Repp, 1992a; Shaffer, 1981; Todd, 1985), similar to phrase-final lengthening in speech. Vibrato, or small, rapid variations in pitch, is another method for increasing prominence. Although less research has addressed the use of vibrato, some studies indicate that singers and string players use vibrato to emphasize musical events (Seashore, 1938; Small, 1937).

Prominence can be used to exaggerate some aspects of musical structure at the expense of others, similar to the goals of contrastive focus in speech. For example, duration patterns that are intended to have certain ratios such as 3:1 are often performed with higher ratios (>3:1), perhaps to distinguish them from similar

(2:1) ratios commonly found in music (Gabrielsson, 1987). Shackford (1961, 1962) presented similar evidence from the production of simultaneous musical intervals; when two violinists performed a single tone a tritone apart from each other (a pitch interval of 6 semitones between the two tones), the interval they produced was exaggerated as a larger pitch interval when it was notated as an augmented fourth (an interval created by expanding a perfect fourth – an interval of 5 semitones) than when it was notated as a diminished fifth (an interval created by reducing a perfect fifth – an interval of 7 semitones), even though the augmented fourth and the diminished fifth denote equivalent pitch intervals of 6 semitones. These exaggerations give prominence to a desired interpretation over an alternative interpretation, similar to how accented vowels tend to be articulated in a more exaggerated fashion and made more distinct from each other than unaccented vowels (see Beckman, 1996; de Jong, 1995).

Performers and listeners develop expectations for the relationship between musical structure and prosodic prominence. Pianists are better at imitating the note-to-note prosodic variations in performances whose expressive timing deviations match the implicit phrase structure (Clarke, 1993; Clarke & Baker-Short, 1987); they are not as accurate at imitating expressive timing that does not match their structural expectations they form (although see Repp, 2000). Listeners develop structural expectations that influence their perception of prosodic cues as well; for example, a tone with increased duration is harder for listeners to detect when it occurs at a position in which lengthening is expected (toward the end of a phrase) relative to an unexpected position (in the middle of a phrase) (Repp, 1992c). Listeners' ability to

detect lengthened tones placed in a computer-generated performance (one in which all tone durations, intensities, and tempo are constant) was inversely correlated with the points in time at which performers typically lengthen tones. Thus, structural expectations by performers and by listeners can influence production and perception of prosody in systematic ways.

Interpretive (performer-specific) prosody also signals the relative prominence of musical events. Kendall and Carterette (1990) and Palmer (1989a) present evidence that different performers playing the same musical composition apply different prosodic cues to emphasize particular structural intentions. Palmer (1989a) documented correspondences between performers' notated interpretations of phrase boundaries and melody (primary voice) with melodic asynchrony, rubato, and articulation (staccato / legato) in pianists' performances of the same piece. Other studies document aspects of performance that are common between different performances of the same music (Gabrielsson, 1999; Repp, 1992a). In sum, musical prosody marks prominent musical events that are determined both by the composition and by the performer.

C. Coordination

An important function of prosody in speech is to regulate turn-taking and inter-speaker coordination in discourse, signaled primarily through intonation patterns and pauses (Cutler, Dahan & van Donselaar, 1997; Swerts & Geluykens, 1994). Most forms of music performance are collaborative; while they are not strictly turn-based, they require coordination between performers to achieve simultaneities. Sometimes, this is externally regulated by a conductor; however, in many small ensembles,

performers must maintain coordination among themselves. Most research on synchronization among performers has focused on segmentation and prominence issues (described above) and less on coordination. Performers must keep their onsets within 30-50 ms (see Rasch, 1979) of each other for simultaneities to maintain cohesion. A conductor usually accomplishes this purpose in large ensembles by visual cues to the beat; more research is needed to investigate how performers maintain cohesion in ensembles without a conductor. It may be that one member is designated the "conductor" (perhaps the member who tends to lead in asynchronous performance), and other members follow their visual or auditory cues (Rasch, 1979). Synchronization may also be accomplished from memory or from schematic knowledge of stylistic norms.

Some research addresses turn-taking among musicians. Perhaps the most important factor for regulating the coordination required for turn-taking is rate or tempo; a performance is considered a failure if performers do not maintain the same tempo. Jungers, Palmer and Speer (2002) demonstrated that performers show strong tempo persistence from music they heard previously. Musicians and speakers heard sequences produced at different rates, and then produced sequences presented in written form. Musicians mimicked the rate of what they had just heard in their subsequent performances. This finding of rate priming can insure successfully coordinated performances. Speakers' rates were also primed by the speech rates they had just heard, although to a lesser degree; their speech rate was better predicted by their preferred rate. Speech may show less rate priming because of the less strict demand for tempo coordination in spoken discourse than in music

performance, which often requires that musicians perform simultaneously. However, other factors may ensure turn-taking in speech than in music; Jungers et al (2002) found that speakers' intonational structure mimicked that of the speech they just heard; this parallel was not possible in the music, because the notes were produced with equivalent loudness contours and the notated music constrained the pitch values that were to be produced.

In some musical styles such as improvisatory jazz, performers alternate creating solos in a stylistic turn-taking that is more like spoken discourse. Like conversation in which speakers elaborate upon their discourse partners linguistic phrases (Fais, 1994), improvisatory jazz soloists elaborate upon previously heard performers' musical ideas (Johnson-Laird, 1991; Pressing, 1988). Although there is not much research on musical discourse, musical improvisation (in which the content is not pre-determined) is an area which would be an appropriate focus of questions such as "Which prosodic cues distinguish the end of a musical solo from its middle?" and "How much of turn-taking is pre-arranged or relies on learned musical patterns?".

D. Emotional response

Music has been characterized as the language of emotions (cf. Peretz, Gagnon, & Bouchard, 1998), and cognitive approaches to music understanding have focused on the emotions that can be conveyed through music (Meyer, 1956). Until recently, little research had focused on music's emotional content, both because of difficulties in defining musical emotion and possible lack of consensus in listeners' emotional response to music (Sloboda, 1992). There are distinctions between musical communication of emotional states, and speech communication of emotional

states. Whereas listeners often attribute emotional states to speakers based on their prosody (at least in everyday speech), listeners do not normally attribute the emotional state to the performer or assume that the sad music itself is suffering (Davies, 2001). Recent developments suggest there are some generalities in listeners' response to music that extend to infants and across cultures (Balkwill & Thompson, 1999), although the field of cross-cultural musical response is young. We do not know yet whether universals exist in emotional response to musical styles across cultures.

Study of emotional functions in music has paralleled speech research in separating emotional prosody from linguistic (structural) prosody. The term emotional prosody in speech refers to melodic and rhythmic components that listeners use to gain insight into a speaker's emotive disposition (Scherer et al, 1984). The emotive significance of speech prosody tends to be considered as independent from linguistic-propositional content of prosody (Gandour, Wong & Hutchins, 1998), in part due to empirical findings that speakers' emotional states were signalled in the fundamental frequency independent of the verbal content (Protopapas & Lieberman, 1997; Scherer et al, 1984). Neurological findings also suggest some dissociation between emotional and linguistic prosody (Heilman, Bowers, Speedie & Coslett, 1984; Ross et al 1997). However, recent comparisons indicate that either right- or left-hemisphere damage can produce emotional prosody deficits of similar magnitude (Pell & Baum, 1997). A few studies suggest neurological dissociation between emotional response and structural response to music. Peretz et al (1997) tested a patient with brain damage whose musical abilities were impaired while her

speech and intellectual functions were spared, a case of amusia without aphasia. The patient could classify happy and sad tunes correctly (emotional content), but she could not recognize familiar tunes or discriminate gross changes in pitch contour (Peretz, 2001; Peretz & Gagnon, 1999; Peretz, Gagnon & Bouchard, 1998).

Emotional expression in music performance is typically measured in experiments in which performers are instructed to express different emotions for the same piece of music in different performances. The performances are evaluated in listening experiments to see whether listeners recognize the intended expression (usually in a forced choice procedure), and the prosodic parameters of the performances are analyzed with regard to the intended emotion. The assumption is that because the structural contents of the music remain the same, any changes in performances or in listeners' reactions must be due to the intended emotional expression. The focus of this research has been on five primary emotions (Ekman, 1973): happy, sad, angry, fearful, and surprised.

The most successful emotions communicated in the prosodic features of music performance are happy and sad (Gabrielsson & Juslin, 1996; Krumhansl, 1997). Juslin (2001) conducted a meta-analysis of which expressive cues performers used to communicate the 5 basic emotions across studies. The primary means of expression included tempo, sound level, timing, articulation, vibrato, tone attacks, tone decays, and pauses. Sad emotions were associated with slow tempo, low sound level, legato articulation, whereas happy expression were associated with fast tempi, high sound level, and staccato articulation. Children used the same prosodic cues to express emotions in song: by 4 years old, they used a fast tempo and high

intensity in happy expression, and a low tempo and low intensity in sad expression (Adachi & Trehub, 1998).

Although some studies document listeners' success in choosing the emotion intended in professional performances, they also document individual differences (Gabrielsson & Juslin 1996; Juslin & Madison, 1999; Kotlyar & Morozov, 1976). Music's emotional connotations are dependent on many factors, including the musical instrument, the particular musical structure, and the particular performance (Gabrielsson & Lindstrom, 2001; Juslin, 1997, 2001). Furthermore, different acoustic parameters of music performance interact in emotional judgments. For example, anger judgments, which are influenced by the sharpness of attacks or tone onsets, were expressed well on an electric guitar but not on a flute (Gabrielsson & Juslin, 1996).

There are several models of emotional expression in music, based on Hevner's (1935a, 1935b, 1936, 1937) groundbreaking studies of emotional response to music. This work first documented that music played at a fast tempo tends to be perceived as exciting and happy, whereas music played at a slow tempo is serene and dreamy. Some of these musical features, such as pitch height, are specified by the composition and some, such as tempo, by the performance prosody. Juslin and Laukka (2003) model the expression of emotional states following a functional lens model (Brunswick, 1956) that begins with the encoding of emotional states by certain voice and speech characteristics in the signal. The emotional arousal of the speaker is accompanied by physiological changes that affect respiration, phonation, and articulation in such a way as to produce emotion-specific

patterns of acoustic parameters that are understood by listeners (see Scherer (1986) for a detailed description in speech). According to this view, vocal music is physiologically related to vocal expressions of emotion; emotion influences the production of speech and vocal music in the same way (instrumental music is included by association) (Juslin & Laukka, 2003).

Is musical prosody necessary to convey emotion? Peretz, Gagnon and Bouchard (1998) played listeners commercial recordings of music that contained normal expressive features and a computer-generated (prosody-less) version of the same music. Musical pieces that were originally interpreted by the experimenters as happy or sad were classified correctly, even in the absence of prosodic cues. Thus, some information as to emotional content is conveyed through structural features not considered part of performance expression. A second study manipulated the mode (minor or major key signature) and tempo (fast or slow) of the music in versions of the same musical composition. Listeners' emotion judgments were influenced by both tempo (faster tempi evoked happiness judgements) and mode (minor keys evoked sadness judgements) Thus, prosodic features (tempo) conveyed emotion in addition to structural features (mode). Interestingly, listeners were able to discriminate happy/sad musical segments, based primarily on tempo cues, for excerpts as short as 500 ms. This finding is consistent with a view of linguistic prosody as immediate and occurring early in processing (Dogil, 2003), compared with syntactic analysis (such as mode), whose structural cues may occur over larger time-spans (Peretz et al, 1998).

Listener-specific factors, such as musical training or familiarity with the

particular music, also influence emotional response (Peretz, Gaudreau, & Bonnel 1998). Scherer and Zentner (2001) propose that listeners' emotional response to music arises from an interaction of prosodic features (which they label performance features), structural features (such as phrase structure), listener-specific features (such as familiarity), and contextual features (such as location and event of hearing). Thus, this work suggests that prosody may be sufficient, as evidenced in the communication of different emotions for the same musical structure (Juslin, 2001), but not necessary to convey musical emotions.

In sum, musical prosody can serve various functions: segmenting a continuous acoustic stream into its component units, highlighting items of relative importance (prominence), coordination among producers (including turn-taking), and attributing emotional states to producers. Of those, lending prominence may be the single greatest function that prosody serves; without prosody, the ambiguity in musical structure could not easily be resolved. Although there is less research devoted to the role of prosody in coordination or in emotional states, recent research suggests that prosody is one of several variables that serves those functions.

IV. Rule-based models of musical prosody

Is the relationship between musical structure and prosody rule-governed? Can an "appropriate" musical prosody be derived from compositional information, without appealing to performer variability or individual interpretation? Several rule-based models have been proposed for mapping music's compositional structure to performed expression (Battel, Bresin, De Poli, & Vidolin, 1994; Clynes, 1995; Sundberg & Frydén, 1985; Sundberg, Askenfelt, & Frydén, 1983; Sundberg, Friberg,

& Frydén 1989; Todd, 1985, 1995), each of which applies to particular types of musical structure. With a few exceptions (e.g. Todd, 1992), these systems are composed of rules that take the musical structure notated in a score as input, and generate prosodic manipulations of pitch, duration, and intensity as output. Because performers have flexibility about which prosodic manipulations they use and to what degree, these rules are not meant to be deterministic ones that yield the only correct prosodic structure; instead, they are preference rules which lead to a common or preferred prosodic structure. The distinction between preference rules and well-formedness rules was first raised to explain different interpretations of ambiguous musical structure (Lerdahl & Jackendoff, 1983).

Sundberg and colleagues (Sundberg et al., 1983, 1989; Sundberg & Frydén, 1985) were instrumental in first developing rule-based models with a technique of 'analysis-by-synthesis', in which the rules were based on the musical intuitions of a trained performer. The rules can be grouped generally into two classes: those that enhance segmentation by articulating group boundaries or harmonically important events, and those that enhance the prominence of tones by exaggerating pitch or categorical (notated) duration differences. An example of a rule that enhances prominence is to further shorten note durations that are notated as short (such as an eighth-note), and to lengthen note durations that are notated as long (such as a half-note). A rule that marks segmentation is to insert micropauses (of 80 ms) between subphrases and to lengthen note durations at the ends of phrases (Sundberg et al, 1983, 1989). These rules can be weighted, and so can be applied moderately or over-expressively. When multiple rules affect the same tone, they are applied

additively.

Perceptual tests of the rules were conducted to compare listeners' preferences for the rule-based grammars. Listeners preferred the rule-based performances to the computer-generated performances in which the rules were not applied, and musically trained listeners showed greater sensitivity to the application of the rules than non-musicians (Sundberg, Friberg, & Frydén, 1991). Breslin, De Poli, and Vidolin (1992) showed that artificial neural networks could learn some of the performance rules specified in Sundberg's (1983, 1989) grammar. These networks produced performances comparable to those produced by the rule-based systems (Sundberg et al, 1983, 1989), and listeners preferred the network-performances over computer-generated performances that contained no prosodic cues.

Rule-based models of composer-appropriate musical expression have been proposed as well. Clynes (1983) advocated the incorporation of individual composers' pulses into the musical microstructure: composer-specific differences in the duration and the amplitude of each group of tones within a musical pulse. These pulse manipulations, derived from the author's intuitions and musical experiences, were argued to yield more acceptable interpretations for that composer's music than interpretations with no such manipulations, random manipulations, or the pulses of other composers. Clynes (1995) showed evidence that these pulses were preferred by musically trained listeners, though there is some conflicting evidence from perceptual tests (see Repp, 1990), and the composer-specific pulses do not seem to be supported by judgments from untrained listeners, as do Sundberg et al's (1983,

1989) rules.

Rule-based models of phrase-final lengthening in music performance have been proposed as well. Based on Lerdahl and Jackendoff's (1983) theory of grouping and meter in Western tonal music, Sundberg and Verillo (1980) proposed a simple model of the final ritard – the deceleration of performance tempo seen at the end of a musical performance. They proposed a linear decrease in tempo to the end of the piece. Todd (1985) predicted the amount of slowing at phrase boundaries from a structural analysis of the musical composition. This model assigned greater lengthening to tones at major structural breaks (higher hierarchical levels in Lerdahl and Jackendoff's (1983) analysis). This approach is similar to Grosjean, Grosjean, and Lane's (1979) model of inter-word pauses in spoken sentences; Grosjean et al (1979) found that a large degree of variation in speech pauses was predictable from the hierarchical representation of the utterance's syntactic phrase structure.

Other models have tried to model musical prosody in kinematic laws of physical motion. Feldman, Epstein, and Richards (1992) modeled the timing characteristics of ensemble performances in terms of a cubic polynomial model that smoothly connected sections of constant tempi with those of changing tempi, minimizing abrupt changes in acceleration, a desirable property in physical acceleration. Todd (1992, 1995) proposed a general-purpose algorithm for the timing of phrase-final lengthening, based on kinematic principles of physical motion. This model proposed a linear deceleration in tempo across tones, and treated musical space as continuous (rather than as discrete tone onsets). Based on findings that tempo changes are often coupled with changes in loudness (Gabrielsson, 1987;

Palmer, 1996a), such that tempo and intensity increase together, Todd (1992) proposed a model that linked the two, such that intensity is proportional to the square of the number of musical events per unit time, and proposed that musical expression creates the perception of motion in listeners. Although kinematic models of music performance are not universally accepted (Desain & Honing, 1992), they formalize the relationship between music performance and principles of motion.

In sum, rule-governed models focus primarily on notated compositional scores as input and they yield prosodic manipulations as output. They reflect general prosodic features related to structural features of the music that can aid listeners in terms of segmentation and prominence. However, it is not clear how well these models generalize; although they are not instrument- or timbre-specific, these potential factors have not been thoroughly investigated. Also, the models do not account for interpretive (performer-specific) variation. Kendall and Carterette (1990) and Palmer (1989a) argued against a rule-governed performance grammar generated solely from compositional structure, based on evidence that performers apply different prosodic cues systematically to the same composition that support different structural intentions. Individual interpretation appears to play a role as well as compositional structure, and some of these sources of variance can be accounted for by rules that are conceived as preferences, rather than as deterministic outcomes (e.g. Lerdahl & Jackendoff, 1983). A deterministic application of these structure-based rules – without any interpretive or individualistic variation - would lead to only one of many appropriate realizations of musical prosody. Empirical measurements of individual performances are necessary to distinguish between structure-based

prosodic cues that transcend performers, and prosodic features that are specific to performers. A combination of rule-based and measurement-based approaches may be necessary to explicate both the form and function of musical prosody.

V. Acquisition of musical prosody

Musical prosody is always present in human performance and appears to have many functions for listeners. What role does prosody play in learning? Prosody may aid perceptual learning of primitive units. Prosodic features in speech can provide low-level cues to aid segmentation and learning of hierarchical relationships (Gleitman & Wanner, 1982; Hirsh-Pasek, Kemler Nelson, Jusczyk, Wright-Cassidy, Druss, & Kennedy, 1987; Jusczyk & Kemler Nelson, 1996), including delineating word boundaries (Christophe, Doupoux, Bertoncini, & Mehler, 1994; Gout, Christophe & Morgan, 2004) and marking syntactic relationships (Fisher & Tokura, 1996). Listeners' abilities to identify smaller musical units may likewise bootstrap their ability to perceive higher-order relationship among those units.

Prosodic cues may be especially important in a domain like music that contains structural and emotional ambiguity. In a seminal theory, Meyer (1956) proposed that listeners' expectations, based on the hierarchical structure of the music, are critical to their emotional response. Music creates expectations which cause listeners to experience tension when unresolved, and release upon their resolution; these expectations are based on how the music will continue, as opposed to extra-musical ideas. The better the listener can grasp the hierarchical structure of a piece, the more precise the listener's expectations and the more emotion is conveyed. Prosodic cues may help a listener grasp the emotional content of the

piece by clarifying the musical structure.

Infants' responses to speech and music offer explanations of why prosody is important. Infant-directed utterances across many cultures contain musical features that are often described as "melodies" (Fernald, 1989; Papoušek, Bornstein, Nuzzo, Papoušek, & Symmes, 1990). According to Fernald (1992), infants are predisposed to selectively attend to the distinctive pitch contours of infant-directed speech, whose primitive emotional meanings can be decoded in the absence of language. Cross-cultural similarities in emotional response to adult speech support this argument (Frick, 1985; Krauss, Curran & Ferleger, 1983). Intention and affect can be communicated in intonation; caretakers use salient intonational patterns that draw preverbal infants' attention (Fernald, 1985; 1993). Parents use melodic contours in consistent ways to engage babies: Infant-directed speech is typically higher in pitch with more exaggerated intonation contours than adult-directed speech (Fernald et al, 1989). Different melodic contours (level, rise, fall, bell-shaped, U-shaped, etc) can consistently map to different behaviors that parents attempt to elicit, including discouraging unfavorable behavior, encouraging imitation, encouraging play, and contingent rewarding (Papoušek, Papoušek & Symmes, 1991). Furthermore, adults can successfully discriminate emotional states in both infant-directed and adult-directed speech, but the acoustic correlates of emotional expression are more widely found in infant-directed speech (Trainor, Austin, & Desjardins, 2000). This work suggests that intonation and prosody may aid parent-infant bonding and emotional communication (Trainor et al., 2000), although attempts to relate particular melodic contours to particular emotions have generally failed (Scherer, 1985).

Adults use prosodic features in music as well, to draw the attention of the infant. Caregivers' songs to infants tend to have higher pitch, slower tempo, and more jitter in fundamental frequency and intensity than songs directed at adults (Trainor, Clark, Huntley & Adams, 1997; Trehub & Trainor 1998; Trehub, Unyk, Kamenetsky, Hill, Trainor, Henderson, & Rasaza, 1997), which have been associated with increased emotional expression. These prosodic features appear in songs sung by young children to their infant siblings (Trehub, Unyk, & Henderson 1994). Parents can adapt their song to the mood and abilities of their listeners. The same song may be sung in a playful way or a soothing way (Trainor & Rock 1997; Trehub et al, 1997). Mothers can alter their performances of the same song for infants (at a higher pitch level) or for preschool children (in enunciated lyrics).

Prosodic cues in music or speech can draw infants' attention to statistical regularities in the transitional probabilities among sequence items. In a statistical learning paradigm, infants were familiarized with recurring sequences of syllables in brief exposure to an artificial language (Saffran, Aslin & Newport, 1996). After familiarization the infants were able to discriminate novel syllable combinations from familiar ones, indicating that they were sensitive to the transitional probabilities between phonemes in strings of speech sounds. Infant-directed speech that contained more prosodic differentiation in pitch height, pitch range, and pitch peaks elicited better statistical learning among infants than did adult-directed speech (Thiessen, Hill & Saffran, 2005). In a similar experiment, Thiessen, Emerson & Saffran (submitted) showed that infants learned number sequences that were sung with a unique rhythmic/ intonational contour more easily than spoken number

sequences. These findings suggest that prosody can benefit statistical learning in music and speech, although several studies demonstrate that statistical learning occurs in the absence of additional prosodic cues (Saffran et al, 1996; Saffran, Johnson, Aslin, & Newport, 1999).

How musical prosody bootstraps learning is likely to be related to the alternatives to be learned. For example, different musical styles and genres permit different degrees of variation in how compositions can be manipulated by performers to make some elements more prominent. In addition, musical instruments differ in how many prosodic features they allow performers to alter; for example, violin permits vibrato but piano does not; most instruments permit change in pitch but drums do not. The musical environment of the infant will shape the space of possible learning alternatives. Because infants' musical environments contain infant-directed music, mostly from their parents' voices, it would not be surprising if the prosodic features of the singing voice are learned first and are then generalized to other non-vocal forms of music, as suggested earlier (Juslin & Laukka, 2003). In this view, it would not be surprising if musical prosody and speech prosody were related. Evolutionary theories of music's origins suggest that musical behavior evolved in conjunction with - or as an adaptation of - vocal communication (Brown, 2000; Dissanayake, 2000; Joseph, 1988). An area for future research is whether prosodic marking of infant-directed song varies across musical styles and across languages. Also of interest is whether musical prosody can aid infants' learning of musical statistical regularities, as in language learning.

VI. How are musical and linguistic prosody related?

Recently researchers have sought more direct links between musical and speech prosody. Patel and Daniele (2003) found differences in the amount of durational variation used by French- and English-speaking composers. English, a stress-timed language that alternates in strong and weak stresses, typically shows larger variation in the production of inter-consonantal intervals (Ramus, Nespore, & Mehler, 1999) and vowel duration (Grabe & Low, 2002) than French, a syllable-timed language. The amount of rhythmic variation in a corpus of 19th century music compositions, measured by note-to-note changes in notated durations, was smaller in a collection of French musical themes than in the English themes (later confirmed by Huron & Ollen, 2003, in a larger corpus). The rhythmic variability of the music was attributed to the influence of the composers' knowledge of their language on the compositions, even though the instrumental music contained no words. Although these findings are based on analysis of notated musical compositions (which contain no prosodic performance variation), the suggestion of different sensitivity to musical variation related to linguistic knowledge is intriguing.

Some neurological evidence suggests a direct connection between musical and linguistic prosody. Stroke victims who have impairments in musical discrimination and perception often have related impairments in perception of linguistic prosody (Nicholson, Baum, Kilgour, Koh, Munhall, & Cuddy, 2003; Patel, Peretz, Tramo, & Labreque, 1998). Patel et al. (1998) documented two stroke-related cases of amusia- one (CN) showing deficits in musical memory, the other (IR), showing perceptual deficits. Perceptual discrimination tasks were created based on sentence pairs which differed only in linguistic prosody, and musical

analogues of the prosodic stimuli were created (only fundamental frequency and duration information were retained from the linguistic stimuli). IR had difficulty on both the linguistic and musical prosody tasks, whereas CN (whose deficits involved long-term memory) performed similarly to control subjects, suggesting shared neural mechanisms between the two domains. Interestingly, congenitally amusic individuals (with similar music perception deficits but no history of brain damage) did not show deficits in linguistic prosody (Ayotte, Peretz, & Hyde, 2002). The congenitally amusic individuals may have developed different neural mechanisms than the stroke damage patients to process linguistic prosody.

Music training is associated with increased sensitivity to pitch processing in language tasks. Musicians detect fundamental frequency changes better than non-musicians; they also show similar ERP responses to small frequency manipulations in music and in speech, whereas non-musicians show similar neural responses in music and speech only for large frequency changes (Schön, Magne, & Besson, 2004). Thompson, Schellenberg, and Husain (2004) tested whether musical training influenced listeners' ability to detect the emotional connotations in speech. Musically trained and untrained listeners heard semantically neutral utterances spoken with a particular emotional prosody, or musical tone sequences that mimicked the utterances prosody, created in the same way as Patel et al.'s (1998) musical analogues to spoken sentences. Musically trained adults outperformed untrained adults at identifying sadness, fear, and emotionally neutral terms. The influence of music lessons on identifying emotions in speech prosody was extended to children as well; a training study with 6-year-olds randomly assigned to 1 year of keyboard,

vocal, drama, or no lessons indicated that the keyboard group performed equivalently to the drama group and better than the no-lessons group at identifying anger or fear (Thompson et al., 2004). These studies suggest that musical training facilitates the ability to decode emotional meaning in speech prosody (although motivational differences among groups may play a role as well).

Direct comparisons of musical prosody and speech prosody, both in terms of their functions and their neurological substrates, are beginning to yield areas of overlap. Musical and speech prosody manipulate the same acoustic variables, yield emotional expression, and serve some of the same segmentation and prominence functions. It seems likely that future studies will yield additional similarities in the functions of coordination and how infants acquire prosodic knowledge. Whether these similarities can distinguish a single evolutionary basis or homologous structures for musical and speech prosody is not obvious; perhaps this distinction is not as important as understanding whether the functions served by prosody within each domain imply abilities that transcend domains.

VII. Conclusions and Caveats

Musical prosody is a complex, rule-governed form of auditory stimulation, and it can move listeners emotionally in systematic ways. The acoustic cues in which musical prosody is instantiated are shared across performers, and performers share with listeners ideas of what constitutes appropriate prosody. Although musical prosody contains individual components of interpretation and prominence, it also reflects constraints based on shared cognitive principles of perceptual organization, emotional response, and even motor production. Thus, it is partially influenced by the

structural ambiguity of music that individual interpretation addresses, but it is also determined by cognitive structures that arise from human perceptual and motor biases. Sensitivity to prosodic cues aids listeners in identifying commonalities and differences in sources of musical sound. In sum, this evidence suggests that musical “prosody” captures general principles of musical expression above and beyond individualistic features of musical interpretation.

One important caveat is that not all prosodic variability in music performance is intentional or expressive in nature. Movement demands on performers contribute to the acoustic characteristics of performance; for example, temporal variance in performed event durations has been modeled in terms of an internal timekeeper and motor response delays (Wing & Kristofferson, 1973). Shaffer (1981) applied similar modeling in analyses of timing in piano performance, concluding that the timing variability arose in part from timekeepers that controlled the timing of individual hands. Expressive timing, internal timekeeper variance, and motor response delays are all part of the same measurement of interonset durations, similar to how phrase-final lengthening and the time it takes to produce certain phonetic features are expressed in speech.

Musical prosody appears to be sufficient for signaling segmentation, prominence, and emotional states; there is less known about the role of prosody in how musicians coordinate their performances. Musical prosody may be *necessary* only for signaling prominence, and, it seems, in promoting emotional communication during development. The inherent ambiguity in musical structure may *require* performers to make use of acoustic variability to encode which musical features are

more important than others. Prosodic cues such as tempo, intensity, timbre, and pitch changes are redundant in music performance; multiple cues reinforce the same segmentation, prominence, etc. Whether musical prosody has a grammar of its own is less obvious. Some rules express well the relation between prominence and acoustic realization, whereas other aspects of performance expression are not well-expressed in rules. Nevertheless, the high degree of consistency in how performers use prosodic features suggests that study of musical prosody, separate from study of musical structure, will inform us about auditory cognition in general.

Two lines of developing research address further the significance of musical prosody: the first is from experiments that manipulate the acoustic parameters of musical prosody, especially in comparison with parameters of linguistic prosody through a continuum from music to speech. The second is neurological evidence from brain-damaged as well as normal individuals who acquire musical training either early or later in life, mapping the functions of musical prosody to their structural mechanisms. These experimental and neurological techniques allow us to address interesting questions, such as: Is prosody the underpinning of language and music development? Are musical genres, like languages, points on a prosodic continuum that is bounded by general perceptual principles of rhythm, grouping, and prominence? Do individual differences in music or language abilities arise from differences in sensitivity to prosody? A fully-fledged theory of musical prosody, we hope, can address these questions.

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Figure Captions

Figure 1. Reprinted with permission from C. Palmer (1996a), *Anatomy of a performance: sources of musical expression*. *Music Perception*, **13**, 433–454, © by the Regents of the University of California. Performance of 54-measure section of a Mozart piano sonata; dynamics and tempo measures for the 16-measure section that the pianist performed twice. Both dynamics and tempo are consistent across repetitions.

Figure 2. Reprinted with permission from C. Palmer (1989b). Structural representations of music performance. In *Proceedings of the Eleventh Annual Conference of the Cognitive Science Society* (pp. 349-356). © Erlbaum. Tempo changes that alter with performer's interpretation from 5 measures of performance of a Prelude by Chopin. Solid lines above music notation indicate performer's intended phrasing. Tempo changes in expressive performance (solid line) show phrase-final lengthening near intended phrase boundaries. Inexpressive performance (dashed line) shows reduced phrase-final lengthening.

Figure 3. Reprinted with permission from Palmer, Jungers & Jusczyk (2001). Episodic memory for musical prosody. *Journal of Memory and Language*, **45**, 526-545, © Elsevier. Musical expression changes with context and aids segmentation. Pianist's performance of musical excerpt placed in different metrical contexts (top: ternary meter, bottom: binary meter). Intensity and articulation patterns (legato/staccato) increase on metrical accents.





